

Language, Individuals, Documents

- Speciation problem is also rampant in language
 - Language, Dialects, Idiolects
 - Dialect chains, Language families, Sprachbünde
 - Sociolects, Situational variation
- Practical solution: investigate **Doculects**
 - Documented ("docu") language variant ("lect")

Phonemic Diversity Supports a Serial Founder Effect Model of Language Expansion from Africa

Quentin D. Atkinson^{1,2}*

Human genetic and phenotypic diversity declines with distance from Africa, as predicted by a serial founder effect in which successive population bottlenecks during range expansion progressively reduce diversity, underpinning support for an African origin of modern humans. Recent work suggests that a similar founder effect may operate on human culture and language. Here I show that the number of phonemes used in a global sample of 504 languages is also clinal and fits a serial founder—effect model of expansion from an inferred origin in Africa. This result, which is not explained by more recent demographic history, local language diversity, or statistical non-independence within language families, points to parallel mechanisms shaping genetic and linguistic diversity and supports an African origin of modern human languages.

he number of phonemes—perceptually distinct units of sound that differentiate words—in a language is positively correlated with the size of its speaker population (1) in such a way that small populations have fewer phonemes. Languages continually gain and lose phonemes because of stochastic processes (2, 3). If phoneme distinctions are more likely to be lost in small founder populations, then a succession of founder events during range expansion should progressively reduce phonemic diversity with increasing distance from the point of origin, paralleling the serial founder effect observed in population genetics (4–9). A founder effect has already been used to explain patterns of variation in other cultural replicators, including human material culture (10–13) and birdsong (14). A range of possible mechanisms (15) predicts similar dynamics governing the evolution of phonemes (11, 16) and language generally (17–20). This raises the possibility that the serial founder–effect model used to trace our genetic origins to a recent expansion from Africa (4–9) could also be applied to global phonemic diversity to investigate the origin and expansion of modern human languages. Here I examine geographic variation in phoneme inventory size using data on vowel, consonant, and tone inventories taken from 504 languages in the World Atlas of Language Structures (WALS) (21), together with information on language location, taxonomic affiliation, and speaker demography (Fig. 1 and table S1) (15).

Consistent with previous work (1), speaker population size is a significant predictor of phonemic diversity (Pearson's correlation r=0.385, df=503, P<0.001), with smaller population size predicting smaller overall phoneme inventories (fig. S1A). The same relationship holds for vowel (r=0.378, df=503, P<0.001) and tone (r=0.230, df=503, P<0.001) inventories separately, with a weaker, though still significant, effect of population size on consonant diversity (r=0.230).

0.131, df = 503, P = 0.003). To account for any non-independence within language families, the analysis was repeated, first using mean values at the language family level (table S2) and then using a hierarchical linear regression framework to model nested dependencies in variation at the family, subfamily, and genus levels (15). These analyses confirm that, consistent with a founder effect model, smaller population size predicts reduced phoneme inventory size both between families (family-level analysis r = 0.468, df = 49, P < 0.001; fig. S1B) and within families, controlling for taxonomic affiliation {hierarchical linear model: fixed-effect coefficient (β) = 0.0338 to 0.0985 [95% highest posterior density (HPD)], P = 0.009.

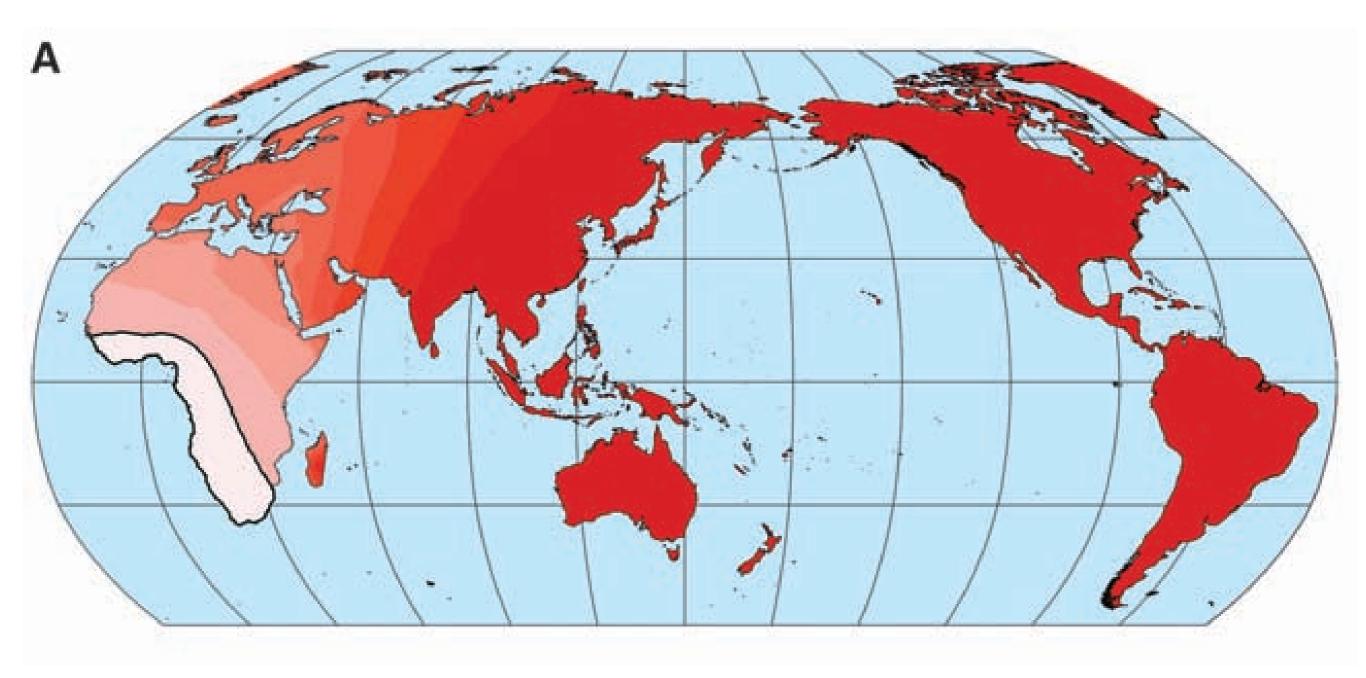
Figure 1B shows clear regional differences in phonemic diversity, with the largest phoneme inventories in Africa and the smallest in South America and Oceania. A series of linear regressions was used to predict phoneme inventory size from the log of speaker population size and distance from 2560 potential origin locations around the world (15). Incorporating modern speaker population size into the model controls for geographic patterning in population size and means that the analysis is conservative about the amount of variation attributed to ancient demography. Model fit was evaluated with the Bayesian information criterion (BIC) (22). Following previous work (5, 6), the set of origin locations within four BIC units of the best-fit location was taken to be the most likely area of origin under a serial founder-effect model.

The origin locations producing the strongest decline in phonemic diversity and best-fit model lie across central and southern Africa (Fig. 2A). This region could represent either a single origin for modern languages or the main origin under a polygenesis scenario. The best-fit model incorporating population size and distance from the origin explains 31% of the variance in phoneme inventory size [correlation coefficient (R) = 0.558, $F_{2,501}$ = 113.463, P < 0.001] (Fig. 3). Both population size ($r_{\text{population}}$ = 0.146, P = 0.002)

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Out-of-Africa Gradient



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Problems

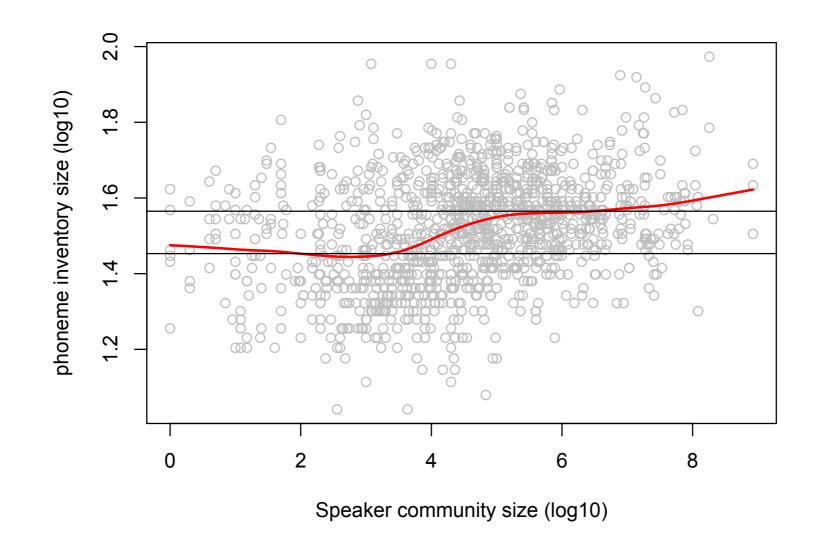
Based on three equally-weighted WALS features

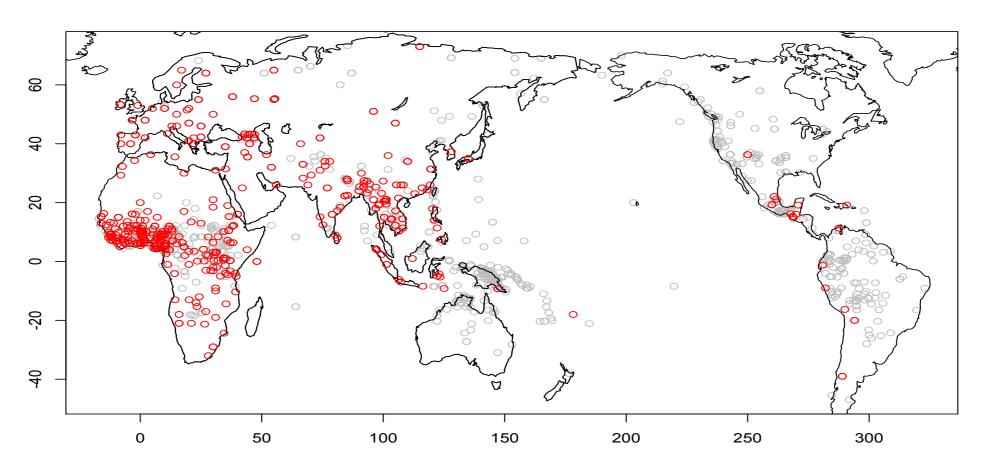
Consonant Inventory

- Vowel Quality Inventory
- Tone Inventory

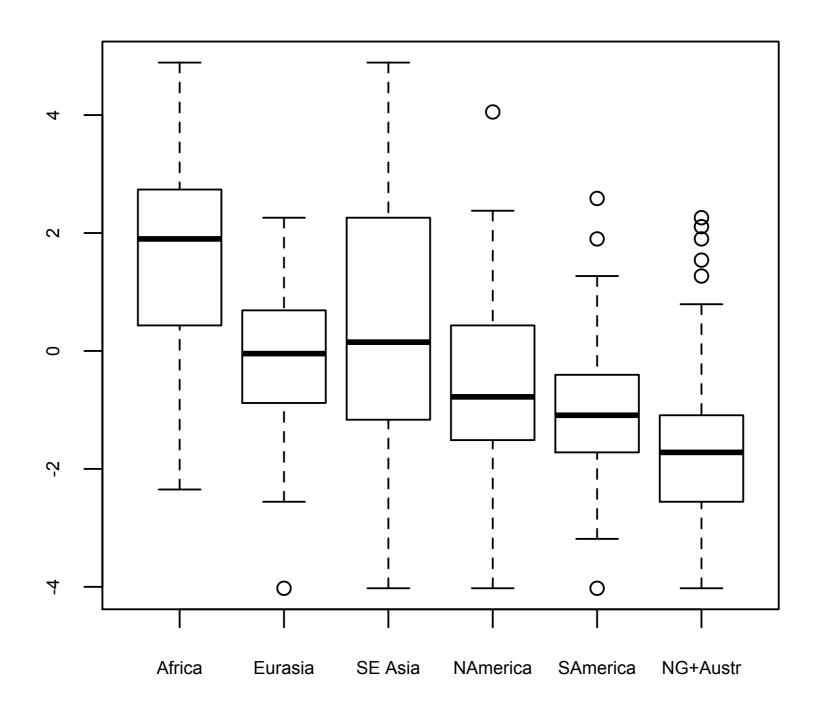
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- Correlation with speaker community size
- Simplistic geographical distance measurement

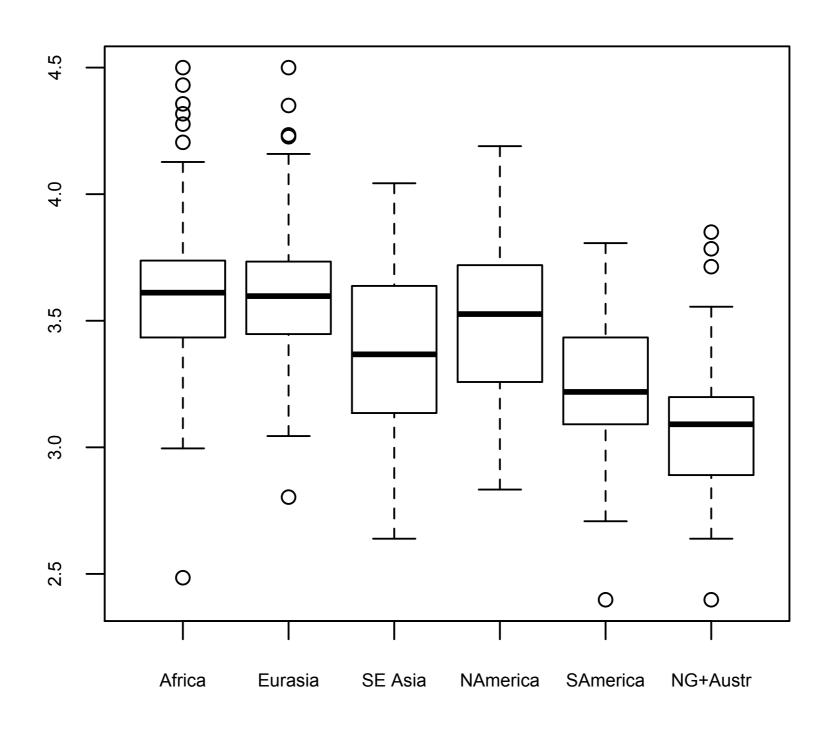




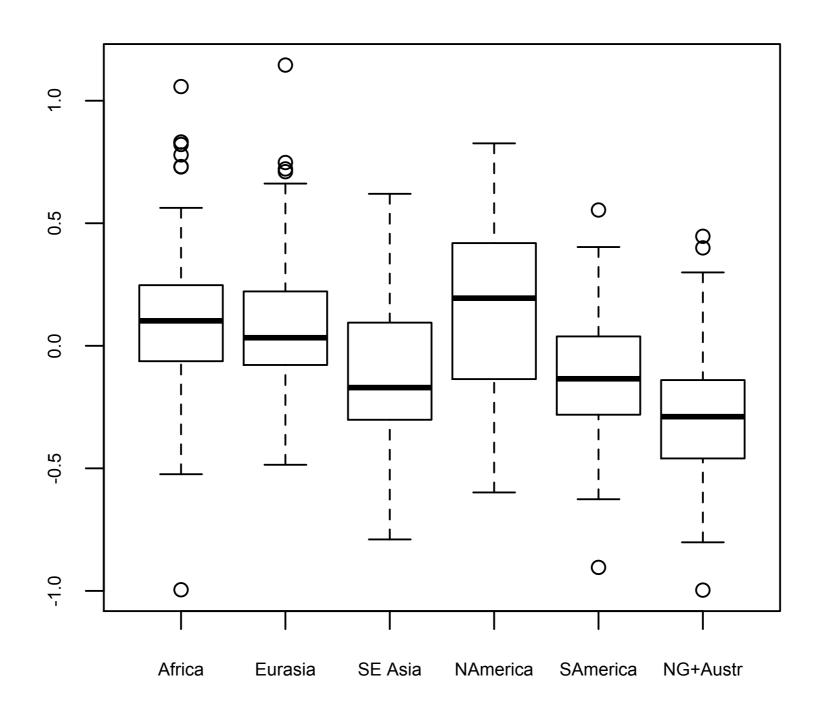
Atkinson's Assessment of Inventories



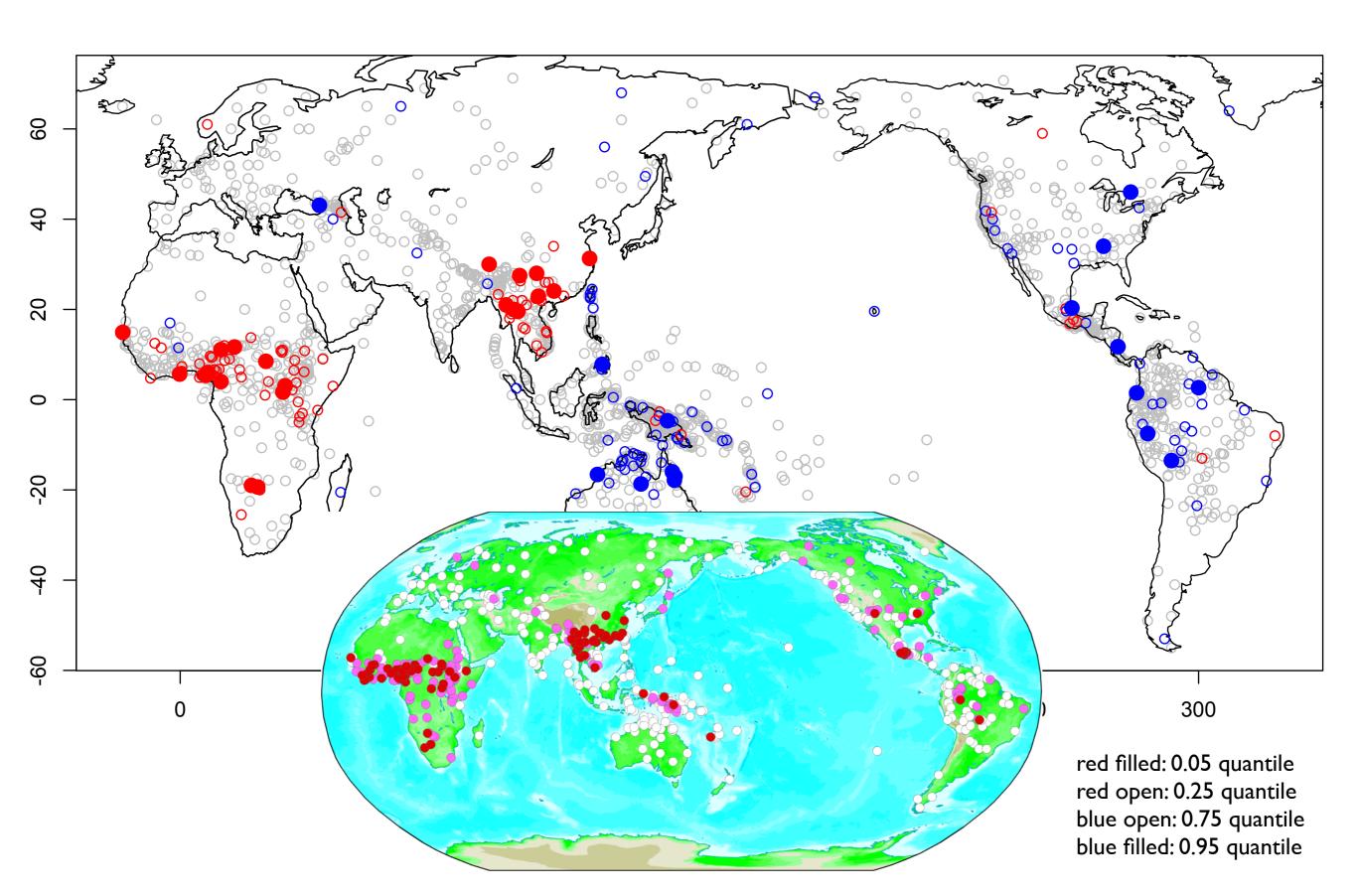
Inventory Size from Phoible



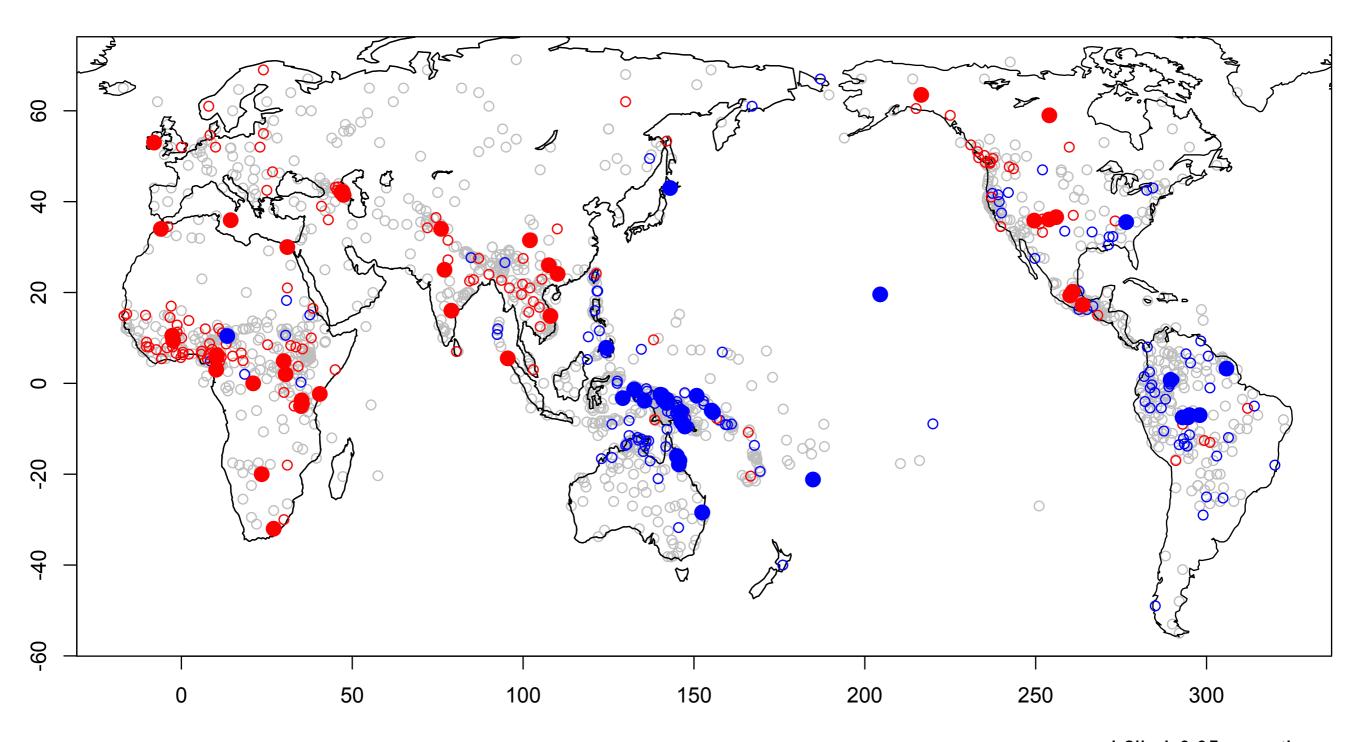
Residuals after Regression with Population Size



Atkinson's Assessment of Inventories

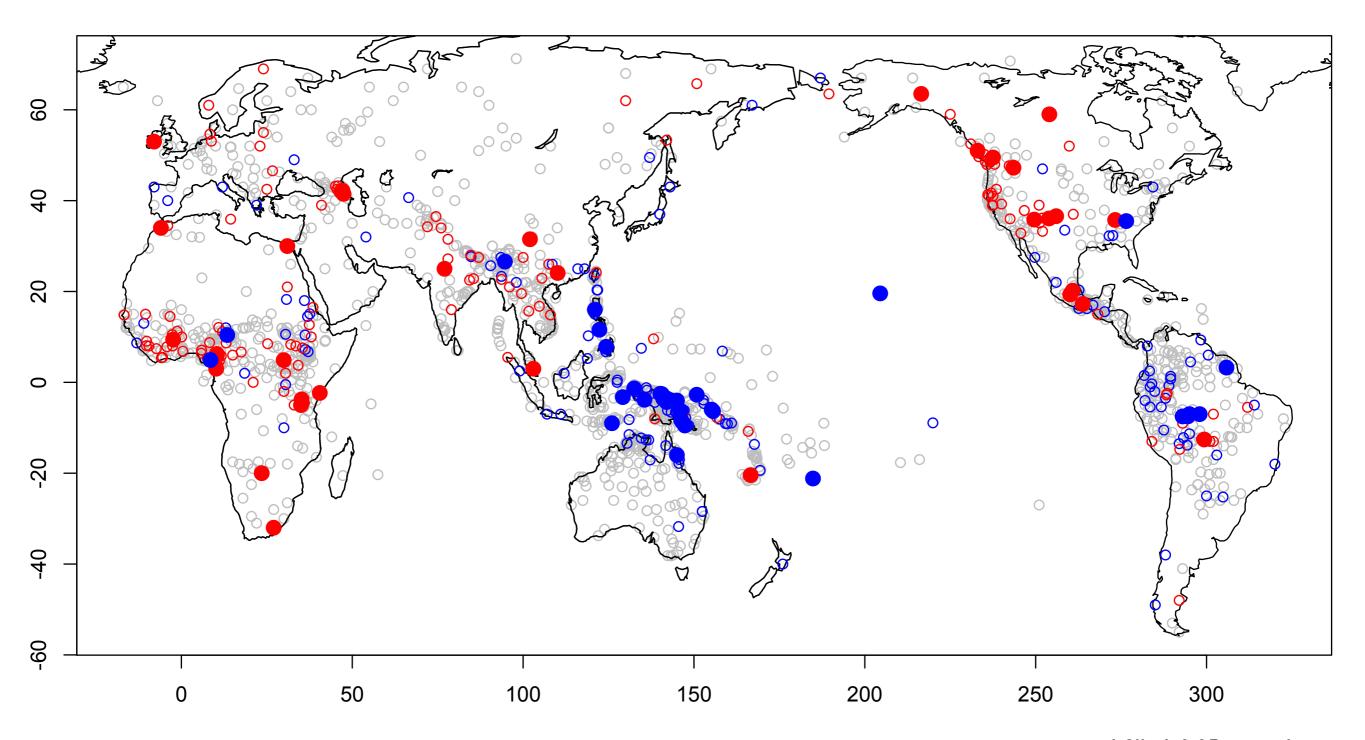


Inventory Size from Phoible

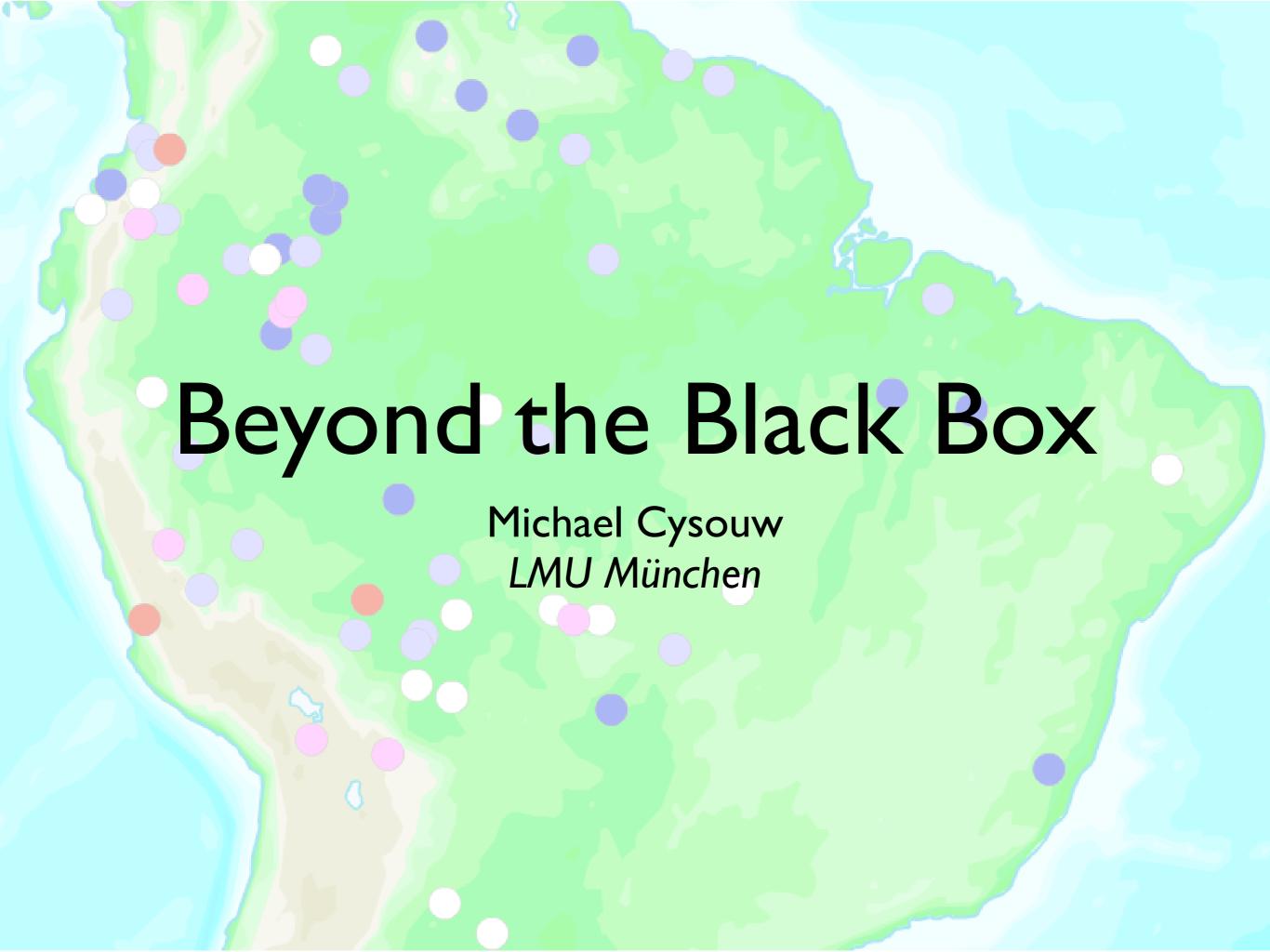


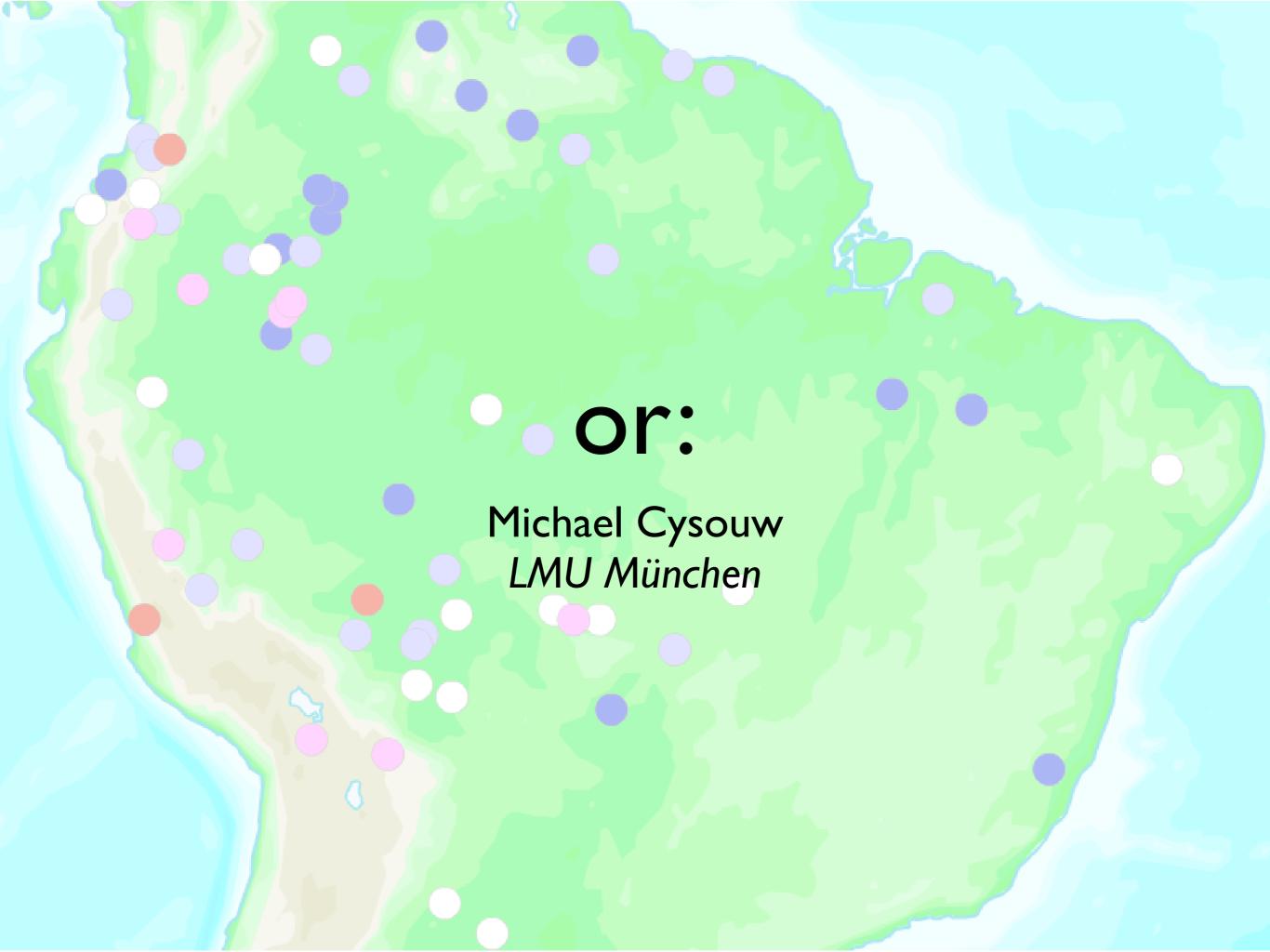
red filled: 0.05 quantile red open: 0.25 quantile blue open: 0.75 quantile blue filled: 0.95 quantile

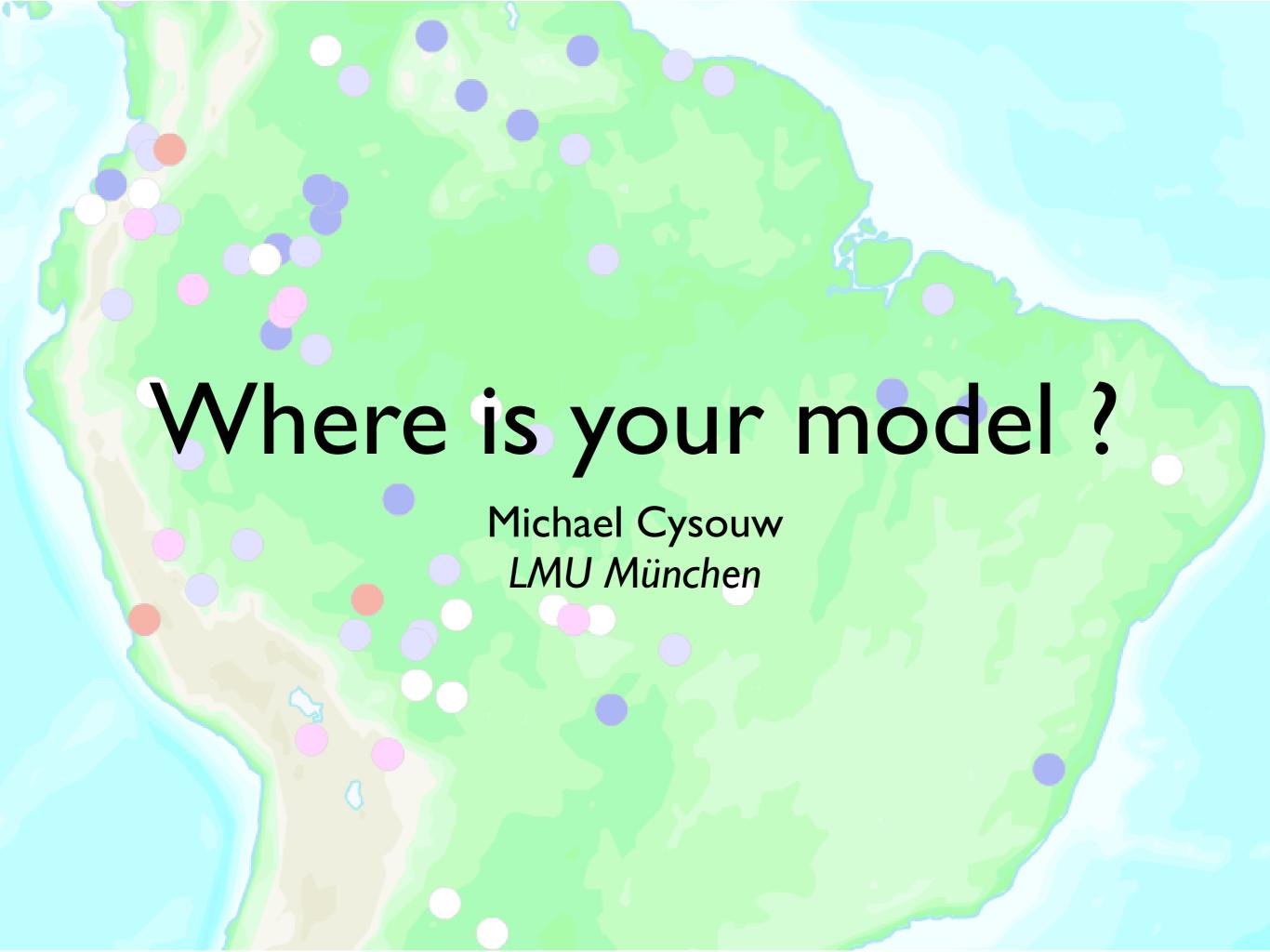
Residuals after Regression with Population Size



red filled: 0.05 quantile red open: 0.25 quantile blue open: 0.75 quantile blue filled: 0.95 quantile

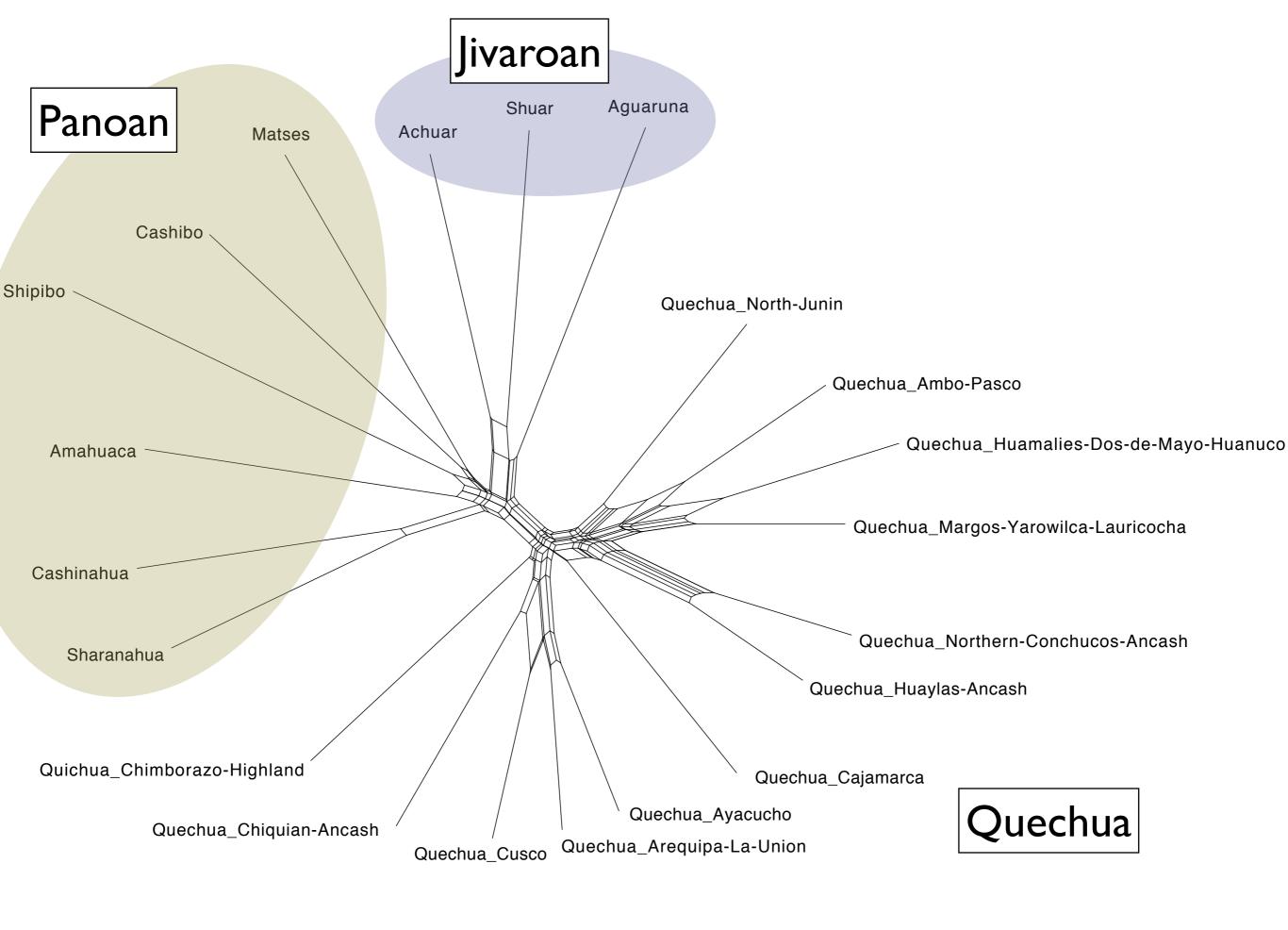


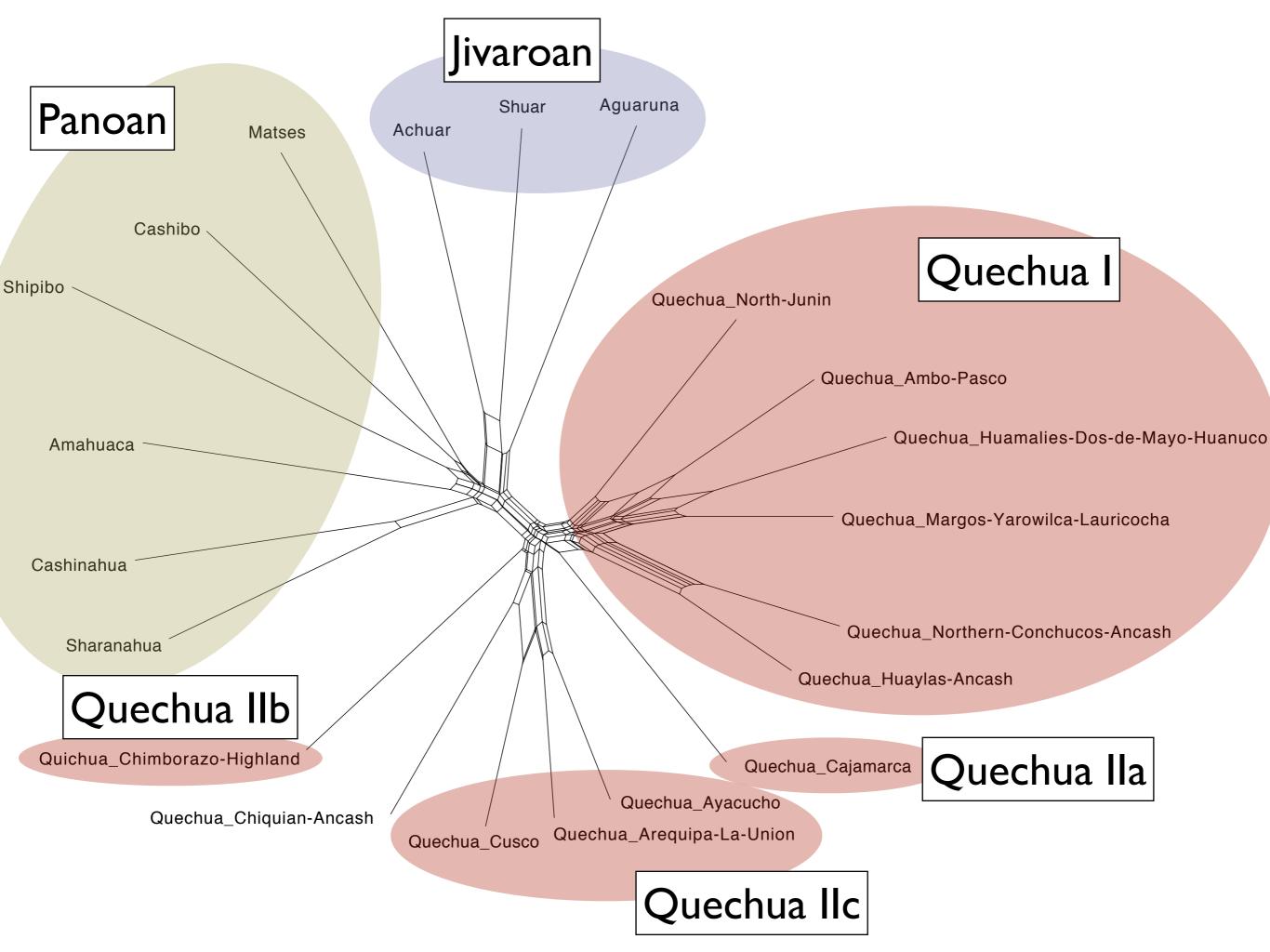




'Black box' comparisons

- Produce a tree without linguistic argumentation
- Based on written words
 - Possibly some form of orthographic normalization (e.g. IPA)
 - ▶ Levenshtein, n-grams, zipping
- Based on distribution of cognates over meanings
 - 'Swadesh approach'
 - Depends on a priori cognate decisions
- Based on typological characteristics





The Problem

- New trees/networks do not help
 - There is no way to assess their value
 - why would a specialist believe one tree over another?
- Methodological education of linguists will still not convince them
 - Current quantitative methods have very limited grounding in linguistics
 - Quantitative model of language change is missing ("Dynamics")

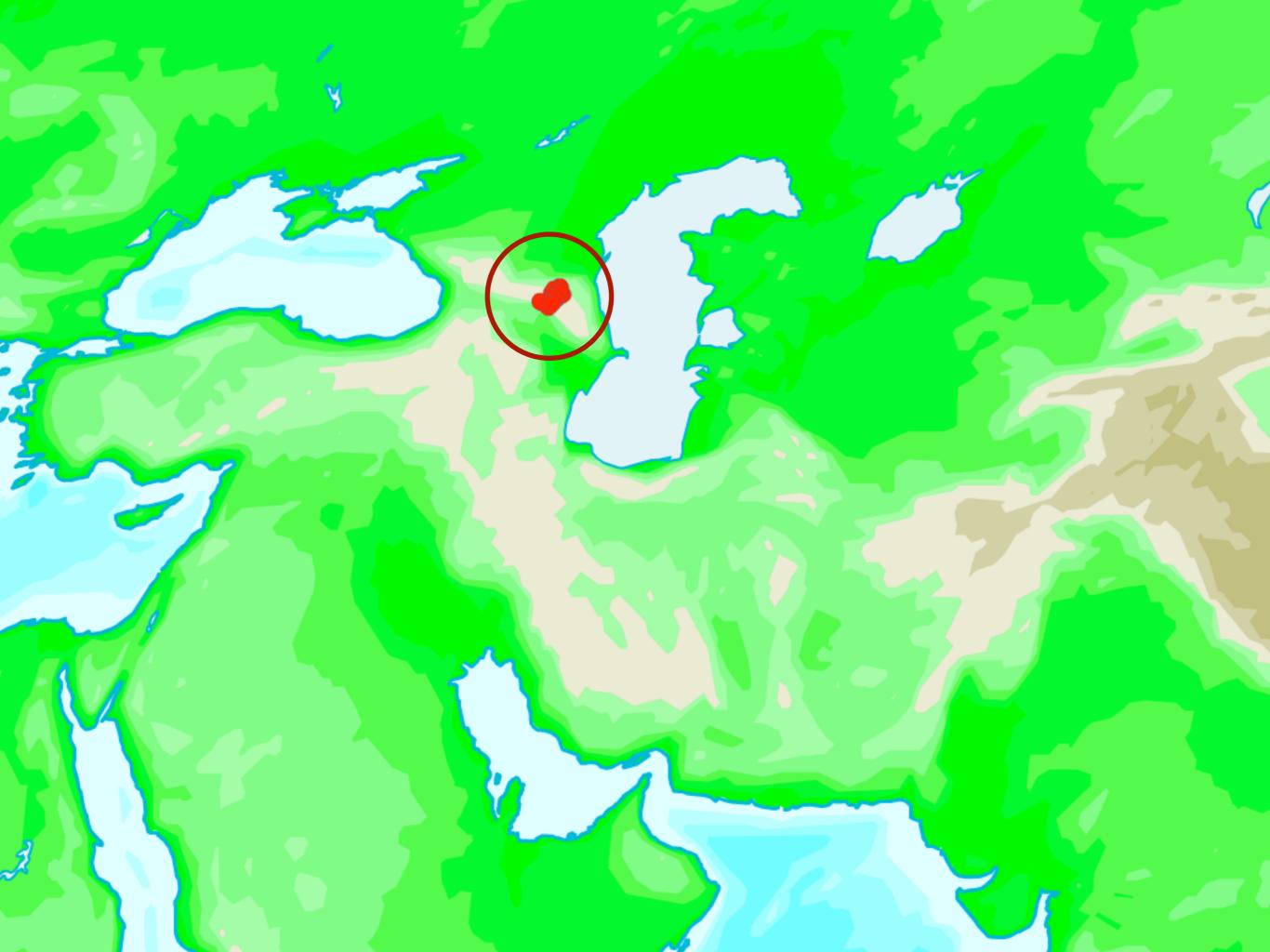
Most Profitable Fields

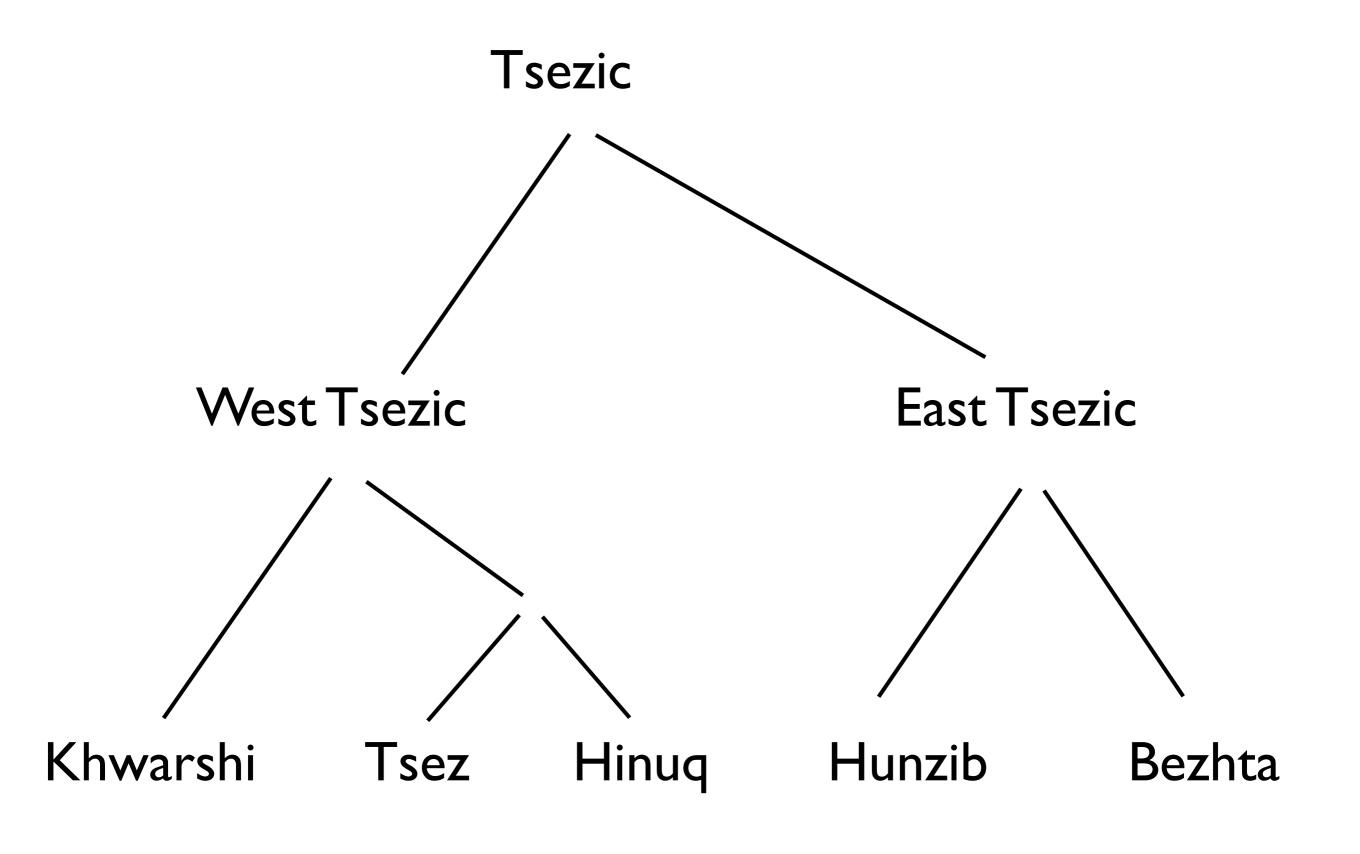
- Report linguistically interpretable summary of proposed changes
 - cognate sets, sound changes
 - summarize changes that characterize subgroups
 - character methods preferred to distance methods
- Develop methods for linguistic specialist to 'wade' through the data
 - most specialist do all the work 'by hand'
 - they strongly reduce data to keep it manageable
- Develop methods based on explicit language model

Non-spatial usage of spatial case marking in Tsezic

(with Diana Forker)





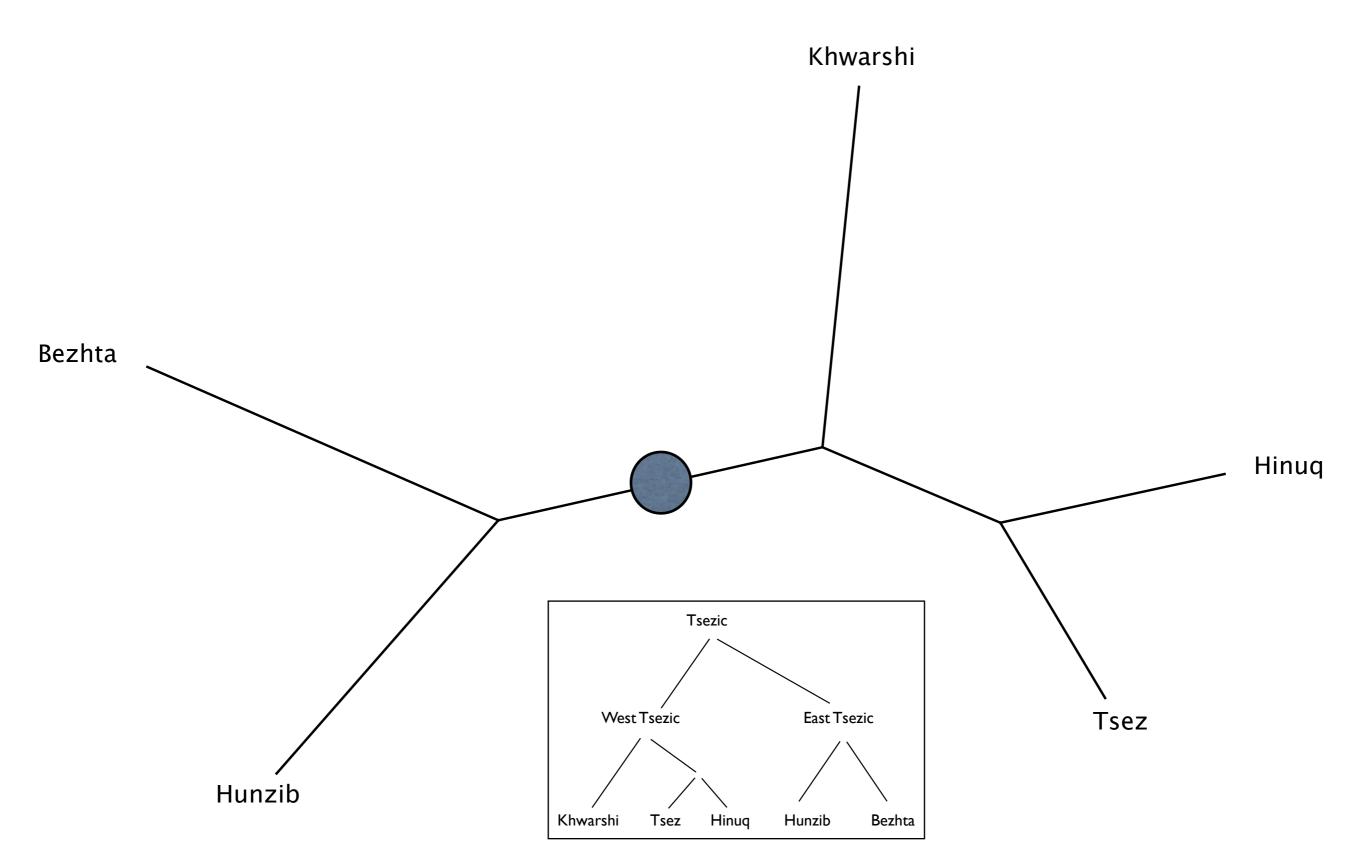


| | | | | - | | | | |
|-------------------|----------|----------------|----------|---------------|------------|------------|------------|-----------|
| Hinuq | CONT | IN | SUB | SPR | AT | APUD | AD | |
| Essive | -ł | -V/ma | -λ | -λ'o | -qo | -de | -ho | |
| Lative | -ł-er | -V/ma-r | -λ-er | -λ'o−r | -qo-r | -de-r | -ho-r | |
| Ablative/Genitive | -ł-es | -V/ma-s | -λ-es | -λ'o-s | -qo-s | -de-s | -ho-s | |
| Ablative | -ł-ezo | -V/ma-zo | -%-ezo | -λ'o-zo | -qo-zo | -de-zo | -ho-zo | |
| Directive | -ł-edo | -V/ma-do | -λ-edo | -λ'o-do | -qo-do | -de-do | -ho-do | |
| Tsez | CONT | IN | SUB | SPR | AT | APUD | AD | |
| Essive | -ł | -ā | -% | -λ'(o) | -q(o) | -de | -x(o) | |
| Lative | -ł-er | -ā-r | -λ-er | -λ'o-r | -qo-r | -de-r | -xo-r | |
| Ablative | -ł-āy | -ā-y | -λ-āy | -λ'-āy | -q-āy | -d-āy | -x-āy | |
| Versative | -ł-xor | -ā-yor | -%-xor | -λ'-āγor/'ār | -q-āɣor/ār | -d-āγor∕ār | -x-āɣor/ār | |
| Khwarshi | CONT | IN | SUB | SPR | AT | | AD | NEAR |
| Essive | -ł | -(m)a | -% | - λ 'ο | -qo | | -ho | -γο |
| Lative | -ł-ul | -(m)a-l | -λ-ul | -λ'o-l | -qo-l | | -ho-l | -yo-l |
| Ablative | -ł-zi | -(m)a-zi | -λ-zi | -ã'o-zi | -qo-zi | | -ho-zi | -yo-zi |
| Versative | -ł-γul | -(m)a-yul | -λ-yul | -λ'o-γul | -qo-yul | | -ho-yul | -yo-yul |
| Translative | -ł-γužaz | -(m)a-yužaz | -λ-γužaz | -λ'o-γužaz | -qo-γužaz | | -ho-yužaz | -γo-γužaz |
| Terminative | -ł-q'a | -(m)a-q'a | -λ-q'a | -λ'o-q'a | -qo-q'a | | -ho-q'a | -yo-q'a |
| Bezhta | CONT | IN | SUB | SPR | AT | APUD | | NEAR |
| Essive | -ł | -? | -% | -λ'a | -qa | -doy | | -γa |
| Lative | | -?-il | | -λ'a-l | | -doy-l | | -ya-l |
| Ablative/Genitive | -ł-so | -?-is | -λ-so | -λ'a-s | -qa-s | -doy-s | | -ya-s |
| Ablative | -ł-la | -?-la | -λ-la | -೩'a-la | -qa-la | -doy-la | | -γa-la |
| Directive | -ł-daa | -?-daa | -λ'a-la | -λ'a-daa | -qa-daa | -doy-da | | -γa-daa |
| Translative | -ł-laλ'ā | -?-(la)%'ā | -qa-la | -λ'a-laλ'ā | -qa-la%'ā | -doy- | | -γa-la೩'ā |
| Hunzib | CONT | IN | SUB | SPR | AT | APUD | | |
| Essive | -ł | -V | -λ | -λ'(o) | -g(o) | -dər | | |
| Ablative/Genitive | -ł-sə | -V-s | | -λ'o-s | -go-s | -dər-sə | | |
| Translative | -ł-λ'i | -V- λ ' | | -λ'o-λ' | | -dər-λ'i | | |
| Directive | -ł-do | -dα-α | | | | -dər-do | | |
| | | - | | | | | | |

| Role | Label | Construction |
|------------------|------------------------|-------------------------------------|
| Addressees | TALK | talk [to somebody] |
| | TELL | tell [to somebody] |
| | SHOUT | shout [at somebody] |
| | SAY | say [to somebody] |
| | ASK | ask [somebody] |
| | BEG | beg [somebody] |
| | EXPLAIN | explain [to somebody] |
| | TEACH | teach [to somebody] |
| | ORDER | order [somebody] |
| Human objects | MARRY | marry [a man] |
| | FEAR | fear [somebody] |
| | BELIEVE | believe [somebody] |
| | LISTEN | listen [to somebody] |
| Recipients | GIVE (PERMANENTLY) | give X as a gift [to somebody] |
| | GIVE (TEMPORARILY) | give X to hold [to somebody] |
| | SHOW | show X [to somebody] |
| Possessors | INALIENABLE POSSESSION | [somebody] has a daughter |
| | ALIENABLE POSSESSION | [somebody] has money |
| Subjects | AGE | [somebody] is X years old |
| | NAME | [somebody] is called X |
| | FIND | [somebody] finds X |
| Objects | EXCHANGE | give X away [for something else] |
| | PURPOSE/GOAL | go with the goal to get [something] |
| | LOOK | look [at something] |
| | METAPHORICAL LOCATION | talk [about something] |
| Causees | CAUSATIVE | cause [somebody] to do X |
| Potential agents | POTENTIAL | [somebody] can do X |
| | ABLE | [somebody] is able to do X |
| Reasons | NATURAL FORCE | [because of something] X happened |
| Converbs | SIMULTANEOUS | [while X happened] |
| | TERMINATIVE | [until/before X happened] |
| | CAUSAL | [because X happened] |
| | POSTERIOR | [after X happened] |
| Time indications | TIME SPAN | something happened [during period X |
| | TIME POINT | something happened [at time X] |

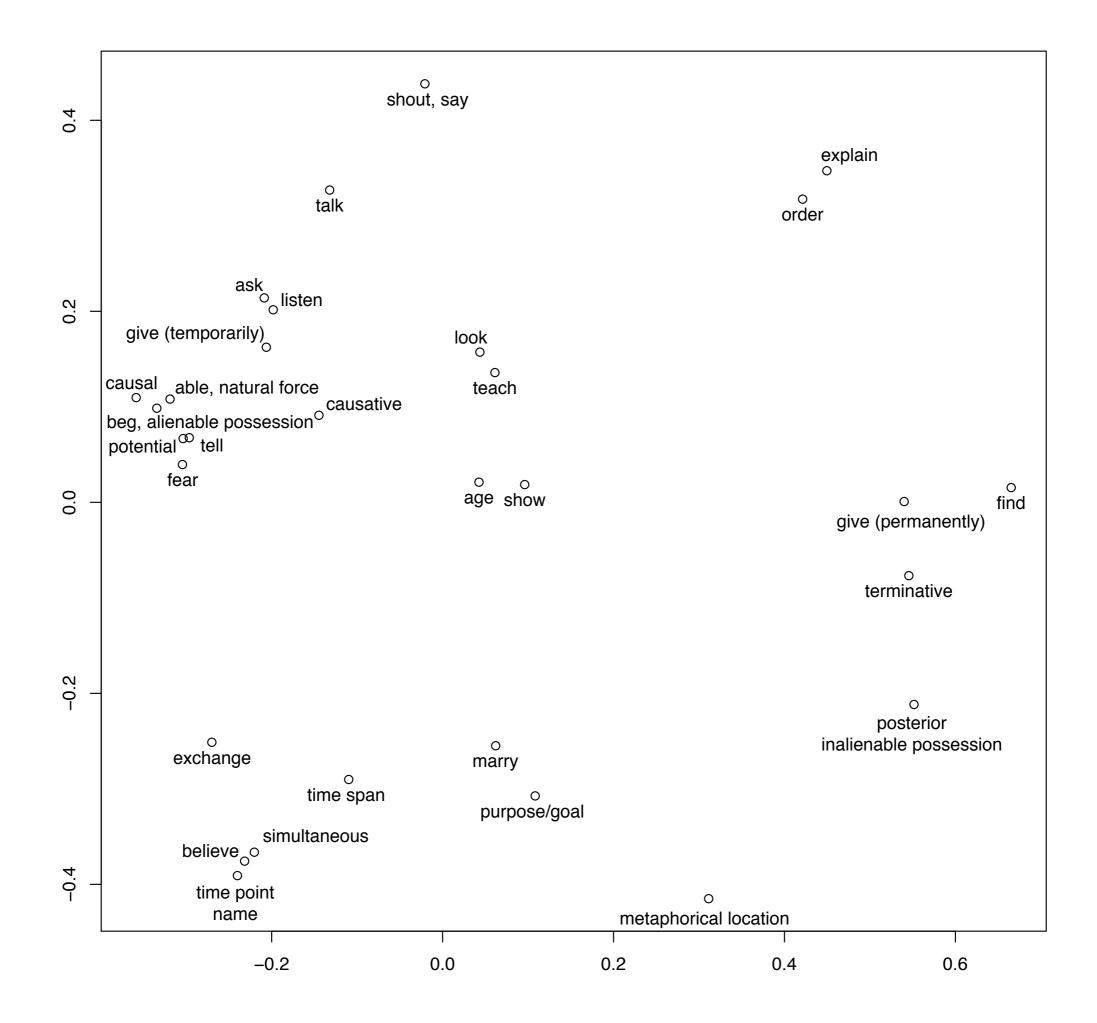
| Function | Hinuq | | Tsez | | Khwarshi | | Bezhta | | Hunzib | |
|------------------------|-------|------|------|------|----------|-------|--------|-------|--------|------|
| | Loc | Dir | Loc | Dir | Loc | Dir | Loc | Dir | Loc | Dir |
| able | AT | NULL | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| age | AT | NULL | AT | NULL | AT | NULL | NULL | LAT | NULL | GEN |
| alienable possession | AT | NULL | AT | NULL | AT | NULL | AT | NULL | _ | _ |
| ask | AT | NULL | AT | NULL | AT | LAT | AT | NULL | AT | NULL |
| beg | AT | NULL | AT | NULL | AT | NULL | AT | NULL | _ | _ |
| believe | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL |
| causal | _ | _ | AT | NULL | _ | _ | AT | NULL | AT | NULL |
| causative | AT | NULL | AT | NULL | AT | NULL | NULL | INSTR | AT | NULL |
| exchange | SUB | NULL | SUB | NULL | SUB | NULL | SUB | NULL | SUB | NULL |
| explain | AT | LAT | NULL | LAT | AT | LAT | NULL | LAT | _ | _ |
| fear | AT | NULL | AT | NULL | AT | NULL | AT | NULL | SPR | NULL |
| find | NULL | DAT | NULL | LAT | NULL | LAT | NULL | LAT | _ | - |
| give (permanently) | NULL | DAT | NULL | LAT | NULL | LAT | NULL | LAT | IN | NULL |
| give (temporarily) | AT | NULL | AT | NULL | NEAR | LAT | AT | NULL | AT | NULL |
| inalienable possession | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN |
| listen | AT | NULL | AT | LAT | AT | NULL | AT | NULL | _ | _ |
| look | SPR | LAT | SPR | LAT | AT | LAT | AT | NULL | AT | NULL |
| marry | AD | NULL | AD | NULL | AD | NULL | NULL | LAT | IN | NULL |
| metaphorical location | SPR | NULL | CONT | ABL | NULL | GEN | NULL | GEN | SPR | GEN |
| name | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | _ | _ |
| natural force | AT | NULL | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| order | AT | LAT | AT | LAT | NULL | LAT | NULL | LAT | _ | _ |
| posterior | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN |
| potential | AT | NULL | AT | NULL | AT | NULL | _ | _ | _ | _ |
| purpose/goal | SPR | NULL | SPR | LAT | AD | NULL | IN | LAT | SPR | NULL |
| say | AT | LAT | AT | LAT | AT | LAT | AT | NULL | AT | NULL |
| shout | AT | LAT | AT | LAT | AT | LAT | AT | NULL | AT | NULL |
| show | NULL | DAT | AT | NULL | AT | NULL | NULL | LAT | IN | NULL |
| simultaneous | SPR | NULL | SPR | NULL | SPR | NULL | IN | NULL | IN | NULL |
| talk | AT | LAT | AT | LAT | AT | NULL | AT | NULL | AT | NULL |
| teach | AT | NULL | AT | NULL | AT | LAT | NULL | LAT | IN | NULL |
| tell | AT | NULL | AT | NULL | AT | NULL | AT | NULL | IN | NULL |
| terminative | SPR | LAT | SPR | LAT | AD | LAT | NULL | LAT | NULL | LAT |
| time point | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | _ | _ |
| time span | CONT | NULL | CONT | NULL | NULL | INSTR | IN | NULL | CONT | NULL |

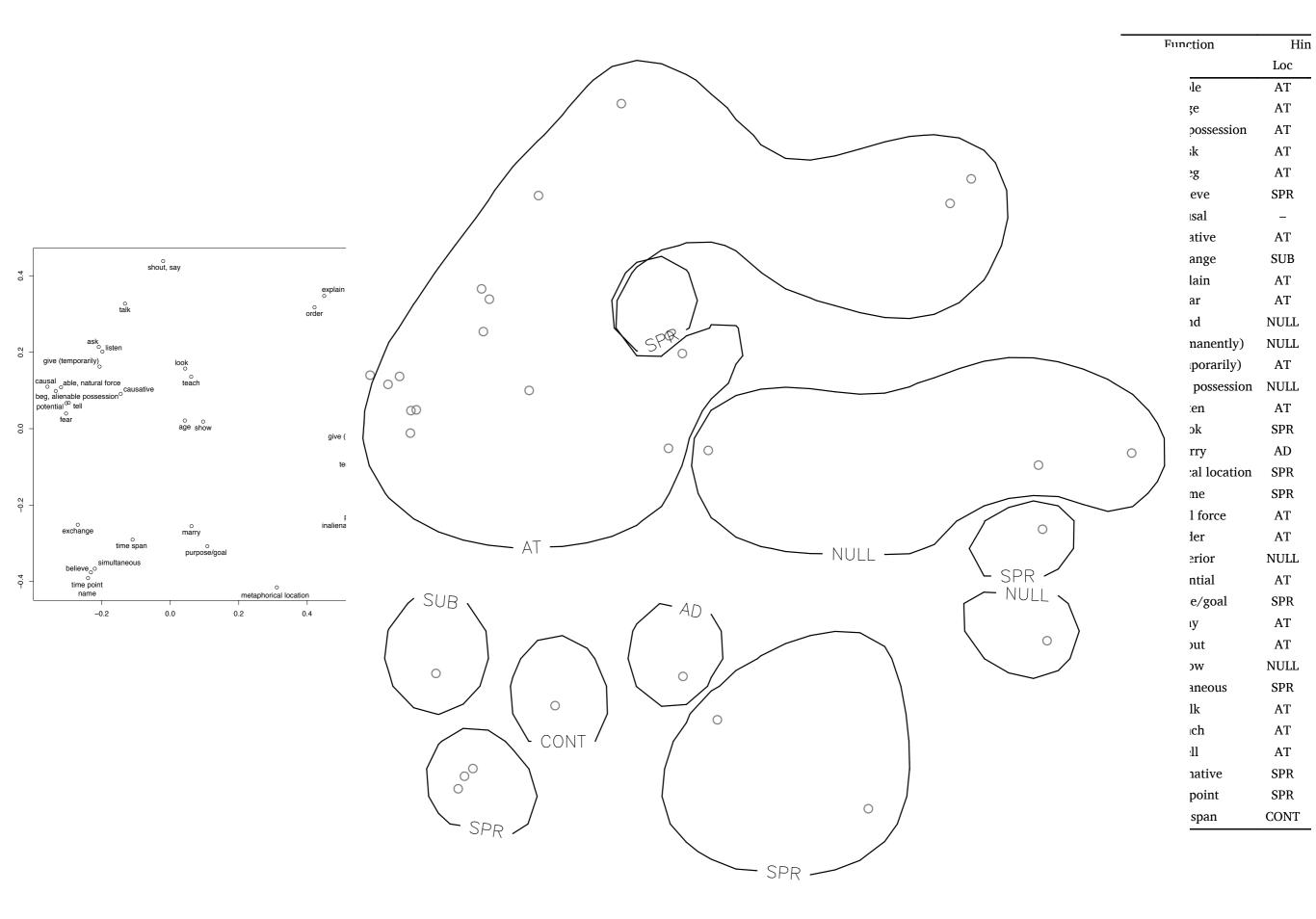
Optimal maximum parsimony tree for case marking



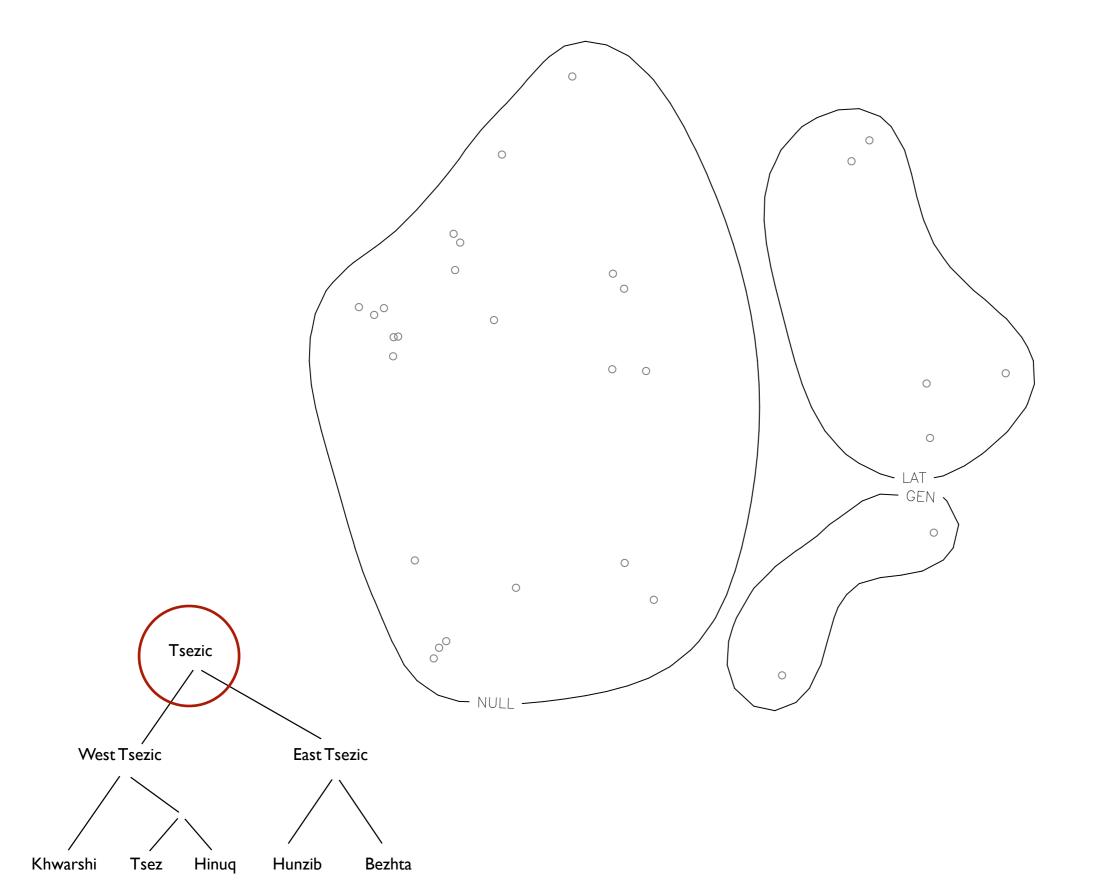
| Functions | proto-Hi | nuq/Tsez | proto-West Tsezic | | proto-Ea | st Tsezic | proto-Tsezic | |
|------------------------|----------|----------|-------------------|------|----------|-----------|--------------|------|
| | Loc | Dir | Loc | Dir | Loc | Dir | Loc | Dir |
| able | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| age | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| alienable possession | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| ask | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| beg | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| believe | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL |
| causal | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| causative | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| exchange | SUB | NULL | SUB | NULL | SUB | NULL | SUB | NULL |
| explain | NULL | LAT | NULL | LAT | NULL | LAT | NULL | LAT |
| fear | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| find | NULL | LAT | NULL | LAT | NULL | LAT | NULL | LAT |
| give (permanently) | NULL | LAT | NULL | LAT | NULL | LAT | NULL | LAT |
| give (temporarily) | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| inalienable possession | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN |
| listen | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| look | SPR | LAT | AT | LAT | AT | NULL | AT | NULL |
| marry | AD | NULL | AD | NULL | _ | NULL | _ | NULL |
| metaphorical location | _ | _ | NULL | GEN | NULL | GEN | NULL | GEN |
| name | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL |
| natural force | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| order | AT | LAT | NULL | LAT | NULL | LAT | NULL | LAT |
| posterior | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN |
| potential | AT | NULL | AT | NULL | _ | _ | AT | NULL |
| purpose/goal | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL |
| say | AT | LAT | AT | LAT | AT | NULL | AT | NULL |
| shout | AT | LAT | AT | LAT | AT | NULL | AT | NULL |
| show | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| simultaneous | SPR | NULL | SPR | NULL | IN | NULL | _ | NULL |
| talk | AT | LAT | AT | NULL | AT | NULL | AT | NULL |
| teach | AT | NULL | AT | NULL | _ | NULL | _ | NULL |
| tell | AT | NULL | AT | NULL | AT | NULL | AT | NULL |
| terminative | SPR | LAT | NULL | LAT | NULL | LAT | NULL | LAT |
| time point | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL |
| time span | CONT | NULL | CONT | NULL | CONT | NULL | CONT | NULL |

| Function | Hiı | Hinuq | | Tsez | | Khwarshi | | Bezhta | | Hunzib | |
|-----------------------|--------|-------|------|------|------|----------|------|--------|------|--------|--|
| | Loc | Dir | Loc | Dir | Loc | Dir | Loc | Dir | Loc | Dir | |
| able | AT | NULL | AT | NULL | AT | NULL | AT | NULL | AT | NULL | |
| age | AT | NULL | AT | NULL | AT | NULL | NULL | LAT | NULL | GEN | |
| alienable possession | AT | NULL | AT | NULL | AT | NULL | AT | NULL | _ | _ | |
| ask | AT | NULL | AT | NULL | AT | LAT | AT | NULL | AT | NULL | |
| beg | AT | NULL | AT | NULL | AT | NULL | AT | NULL | _ | _ | |
| believe | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | |
| causal | _ | _ | AT | NULL | _ | _ | AT | NULL | AT | NULL | |
| causative | AT | NULL | AT | NULL | AT | NULL | NULL | INSTR | AT | NULL | |
| exchange | SUB | NULL | SUB | NULL | SUB | NULL | SUB | NULL | SUB | NULL | |
| explain | AT | LAT | NULL | LAT | AT | LAT | NULL | LAT | _ | _ | |
| fear | AT | NULL | AT | NULL | AT | NULL | AT | NULL | SPR | NULL | |
| find | NULL | DAT | NULL | LAT | NULL | LAT | NULL | LAT | _ | _ | |
| give (permanently) | NULL | DAT | NULL | LAT | NULL | LAT | NULL | LAT | IN | NULL | |
| give (temporarily) | AT | NULL | AT | NULL | NEAR | LAT | AT | NULL | AT | NULL | |
| inalienable possessio | n NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | |
| listen | AT | NULL | AT | LAT | AT | NULL | AT | NULL | _ | _ | |
| look | SPR | LAT | SPR | LAT | AT | LAT | AT | NULL | AT | NULL | |
| marry | AD | NULL | AD | NULL | AD | NULL | NULL | LAT | IN | NULL | |
| metaphorical locatio | n SPR | NULL | CONT | ABL | NULL | GEN | NULL | GEN | SPR | GEN | |
| name | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | _ | _ | |
| natural force | AT | NULL | AT | NULL | AT | NULL | AT | NULL | AT | NULL | |
| order | AT | LAT | AT | LAT | NULL | LAT | NULL | LAT | _ | _ | |
| posterior | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | NULL | GEN | |
| potential | AT | NULL | AT | NULL | AT | NULL | _ | _ | _ | _ | |
| purpose/goal | SPR | NULL | SPR | LAT | AD | NULL | IN | LAT | SPR | NULL | |
| say | AT | LAT | AT | LAT | AT | LAT | AT | NULL | AT | NULL | |
| shout | AT | LAT | AT | LAT | AT | LAT | AT | NULL | AT | NULL | |
| show | NULL | DAT | AT | NULL | AT | NULL | NULL | LAT | IN | NULL | |
| simultaneous | SPR | NULL | SPR | NULL | SPR | NULL | IN | NULL | IN | NULL | |
| talk | AT | LAT | AT | LAT | AT | NULL | AT | NULL | AT | NULL | |
| teach | AT | NULL | AT | NULL | AT | LAT | NULL | LAT | IN | NULL | |
| tell | AT | NULL | AT | NULL | AT | NULL | AT | NULL | IN | NULL | |
| terminative | SPR | LAT | SPR | LAT | AD | LAT | NULL | LAT | NULL | LAT | |
| time point | SPR | NULL | SPR | NULL | SPR | NULL | SPR | NULL | _ | _ | |
| time span | CONT | NULL | CONT | NULL | NULL | INSTR | IN | NULL | CONT | NULL | |

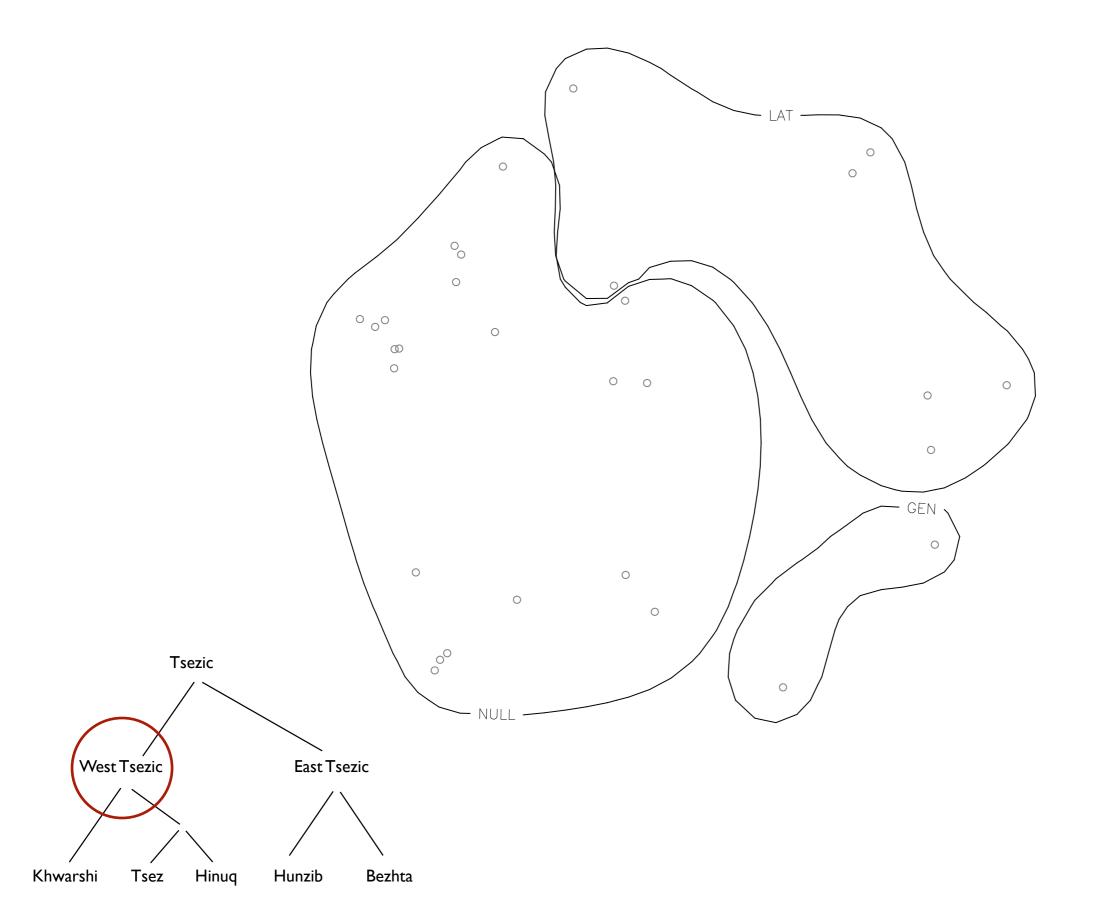




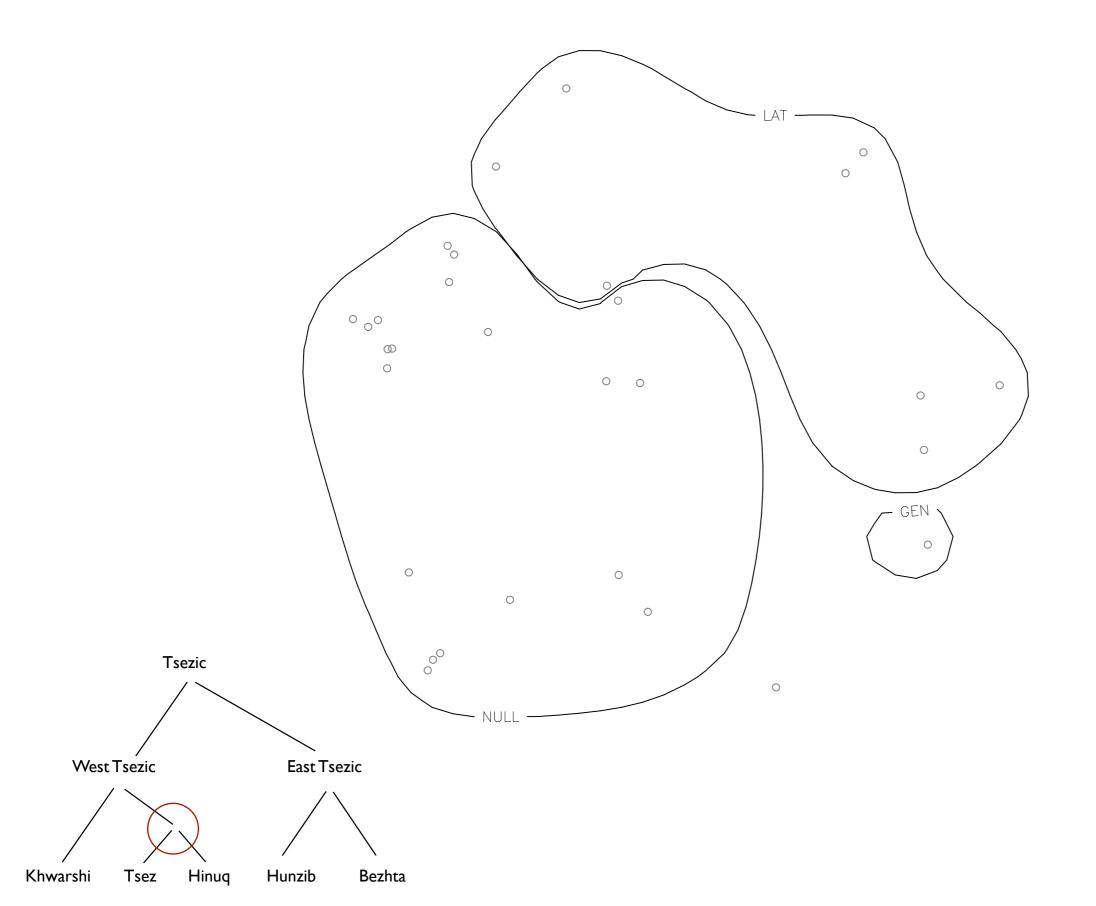
Proto-Tsezic



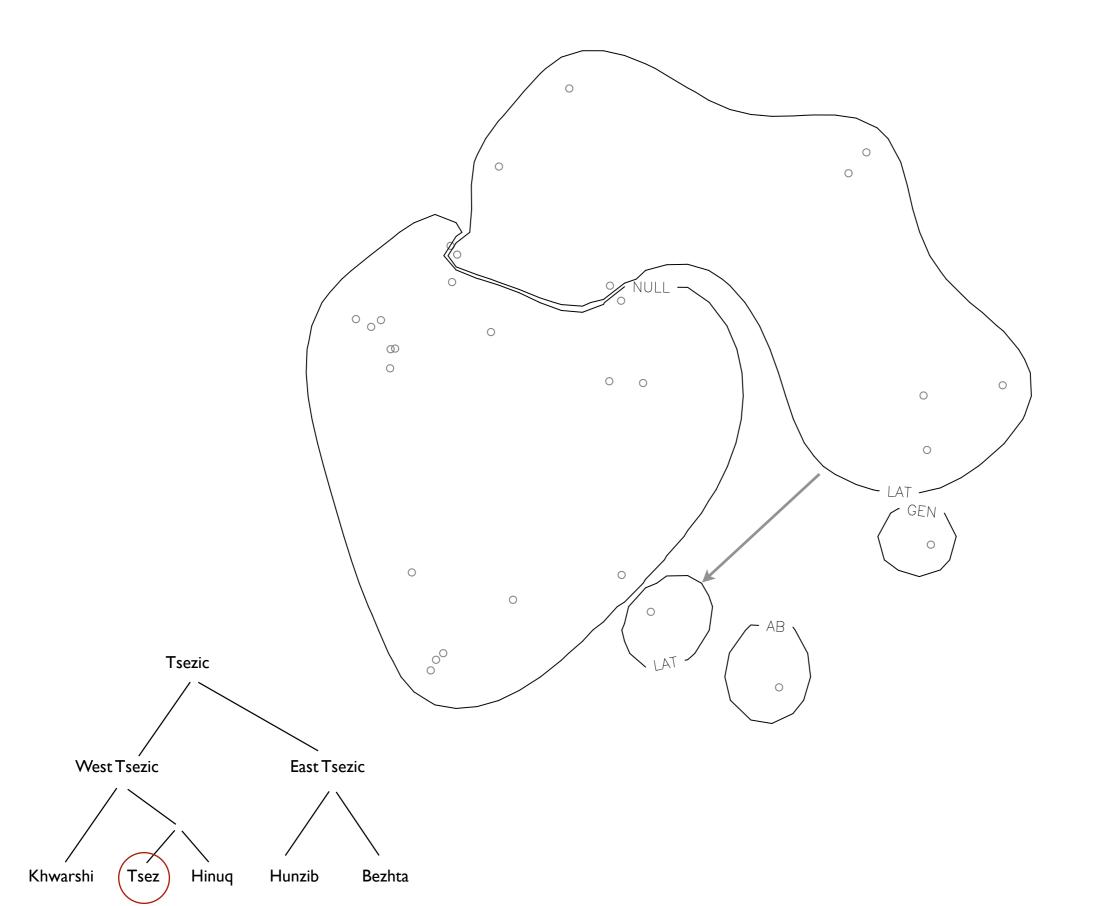
Proto-West-Tsezic



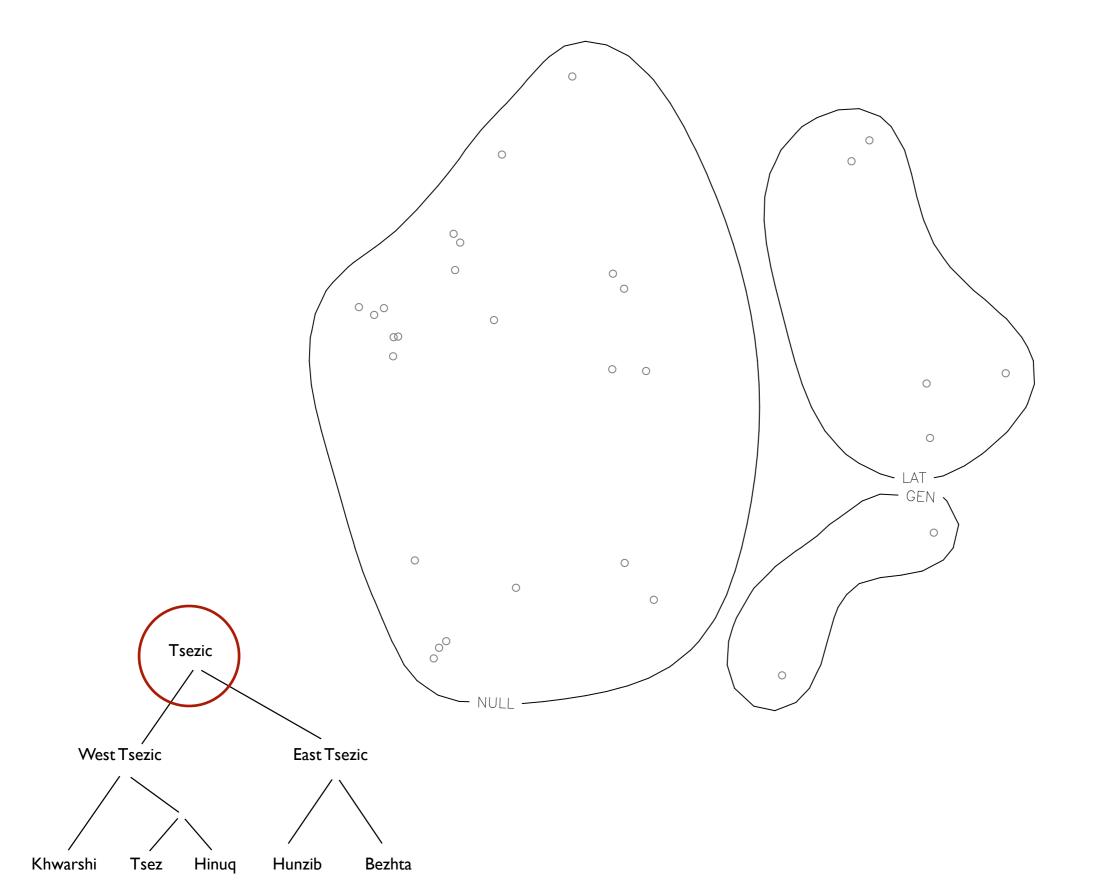
Proto-Hinuq-Tsez



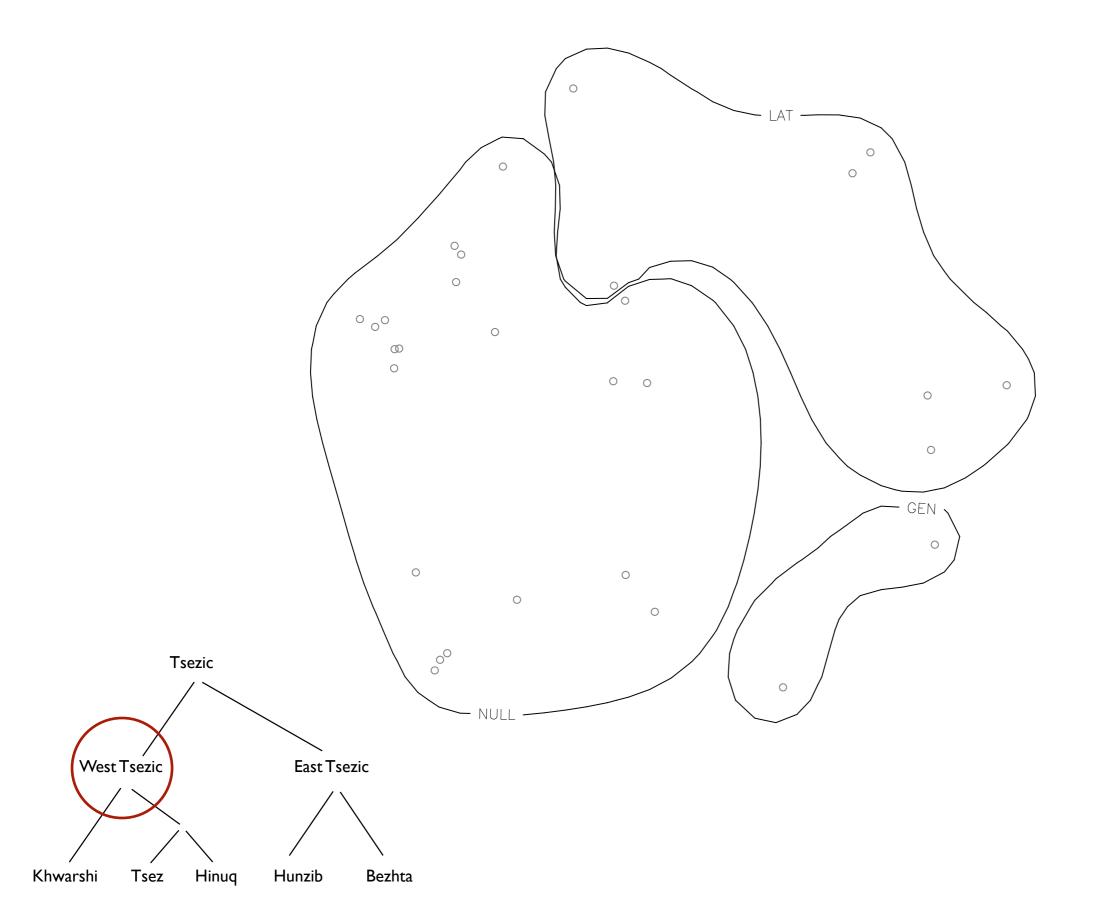
Tsez "directional"



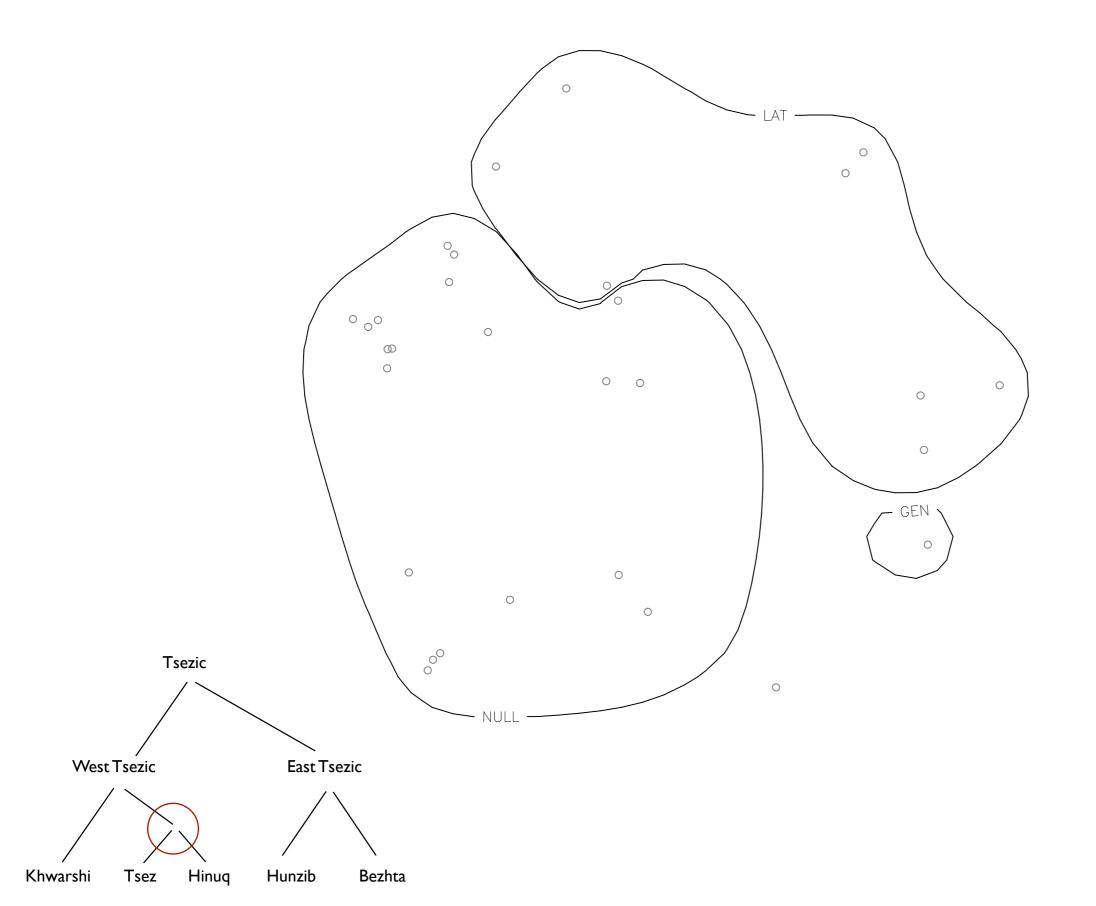
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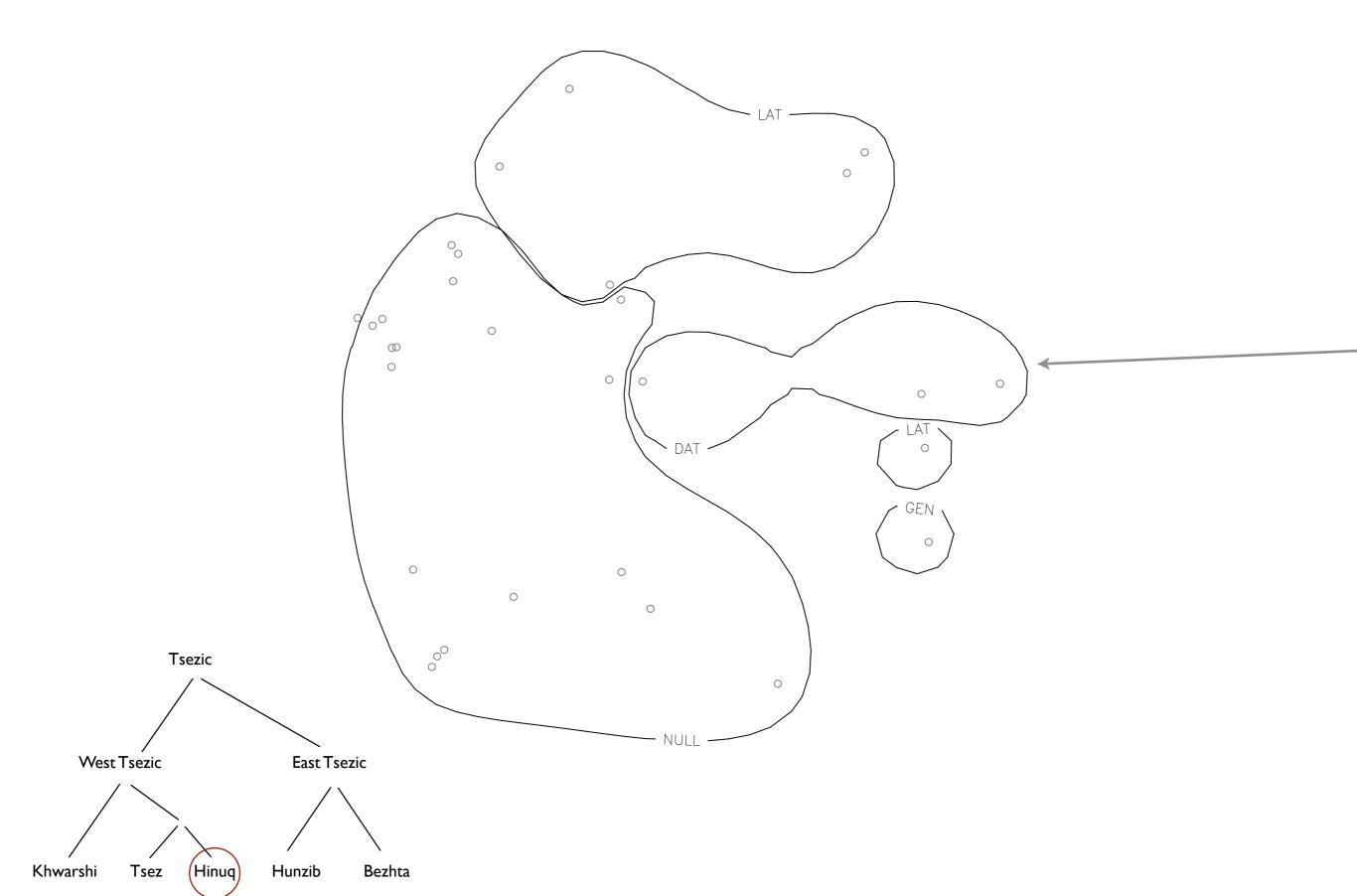
Proto-West-Tsezic



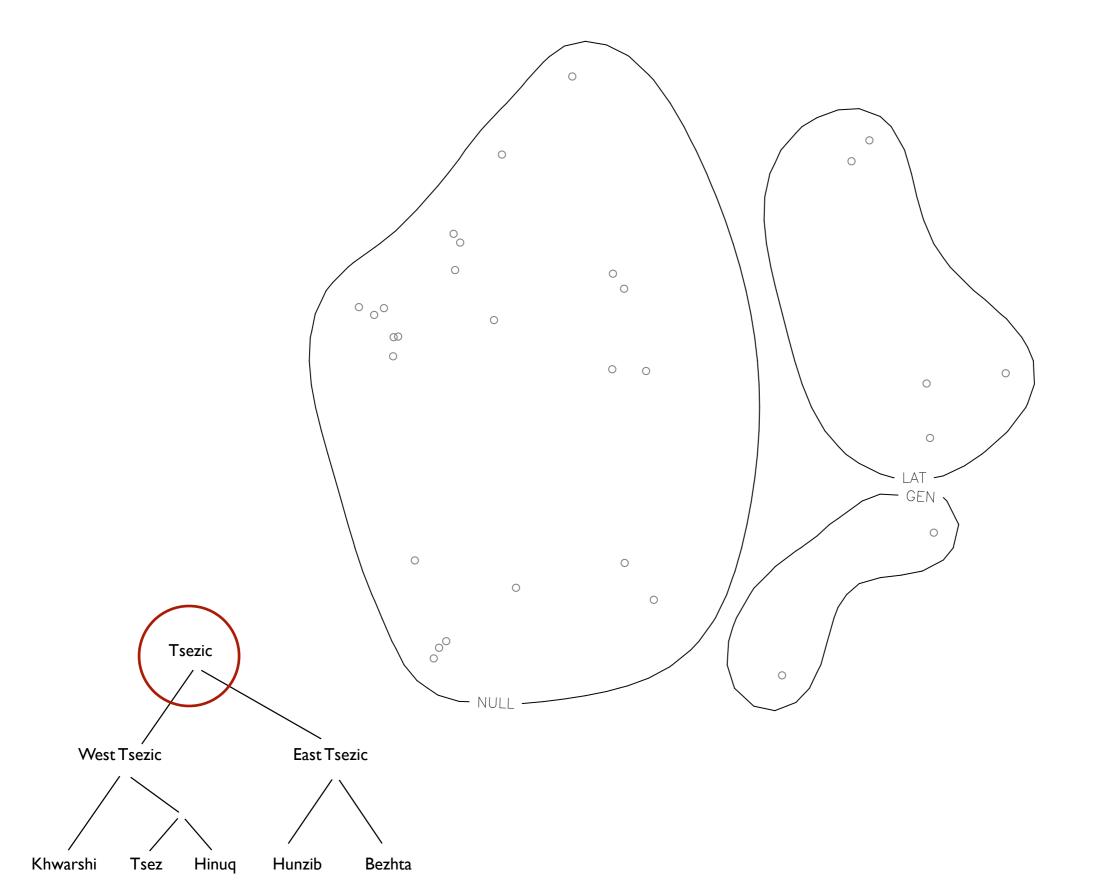
Proto-Hinuq-Tsez



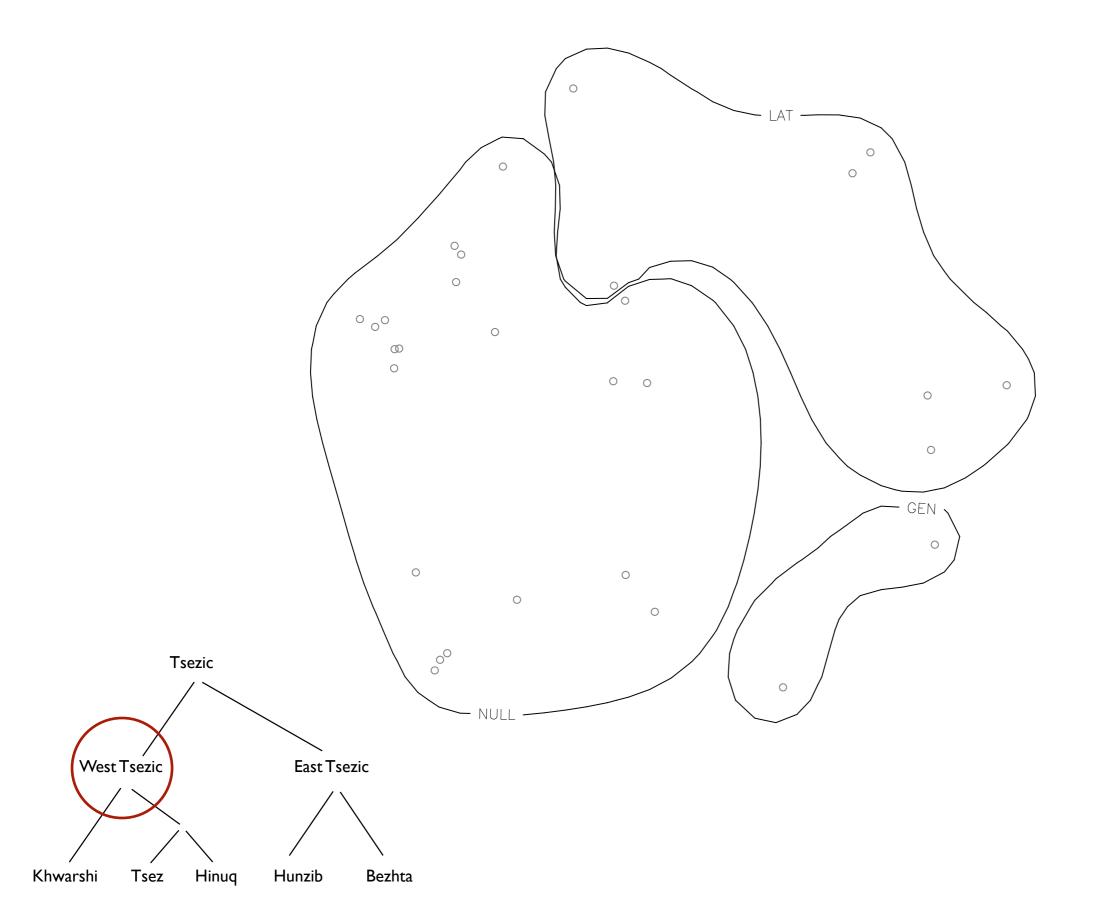
Hinuq



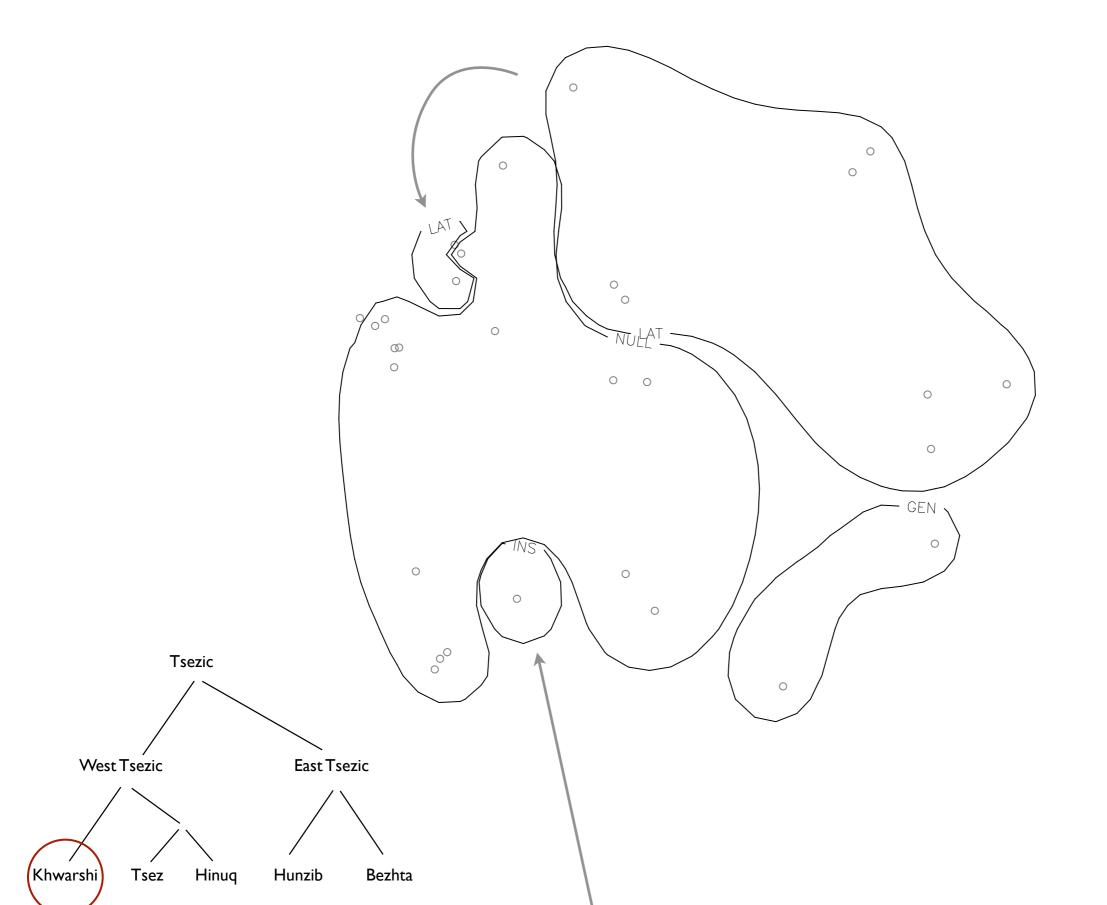
Proto-Tsezic



Proto-West-Tsezic



Khwarshi



Future

- There are only very few linguists that can do the math
- There are only very few mathematicians that know about the dirty details of linguistic structure
- Cooperation between linguists and mathematicians is crucial